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Comparison Of Knee Moments And Landing Patterns During A Lateral Cutting Maneuver: Shod Vs. Barefoot

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3 A COMPARISON OF KNEE MOMENTS AND FOOT STRIKE PATTERNS DURING
4 A LATERAL CUTTING MANEUVER: SHOD VS. BAREFOOT

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10 Keywords: barefoot, shod, lateral cutting, ACL

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21 **Abstract**

22 Non-contact anterior cruciate ligament (ACL) injuries often occur during lateral cutting
23 maneuvers where extension, adduction, and external rotation create high loads on the
24 ACL. The aim of this study was to examine knee moments and foot strike patterns during
25 lateral cutting while shod (SD) and barefoot (BF). Fifteen NCAA Division III athletes (7
26 female and 8 male; age 20.2 ± 1.5 yr; mass 71.5 ± 11.3 kg; height, $1.7 \pm .06$ m) without
27 lower limb pathologies were analyzed during 5 trials of 45 degree lateral cutting
28 maneuvers for each limb in both BF and SD conditions with the approach speed at 4.3
29 m/s. Kinetic and kinematic data were collected using an eight-camera motion capture
30 system and a force plate with collection rates at 240 Hz and 2400Hz respectively. Paired
31 t-tests were used to determine differences conditions. The SD condition produced a
32 significantly ($p < 0.05$) greater peak adduction moment and cutting while BF caused a
33 more anterior foot strike. Lateral cutting while BF places no more stress on the ACL than
34 when SD. Our findings suggest that lateral cutting maneuvers while BF will not increase
35 stress on the ACL.

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44 Keywords: barefoot, shod, lateral cutting, ACL

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46 Question:

47 Does performing a BF cutting maneuver increase risky mechanics that may stress the
48 ACL?

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50 Variables:

- 51 • Knee angles at initial contact
- 52 • Peak knee abduction
- 53 • Peak knee frontal plane moments
- 54 • Peak knee extension moments
- 55 • Peak GRF
- 56 • Max ROL

57

58 **Introduction**

59 Movements that cause nearly full knee extension, combined with external or internal
60 tibial rotation, predispose an athlete to a noncontact ACL injury (Bencke & Zebis, 2011).

61 Lateral cutting maneuvers have been directly related to causing non-contact ACL injuries
62 (L. D. Besier T, Cochrane J, Ackland T, 2001; Houck, 2003). An abundant amount of
63 research has focused on athletic movements when wearing shoes; however, less is known
64 about athletic maneuvers while barefoot (BF).

65 BF running has been intensely examined; however, there has been minimal research
66 involving other BF athletic maneuvers. Although BF running has become increasing
67 popular, many sports are commonly played BF in less developed areas such as Brazil and
68 Africa (Boshoff, 1997). Playing sports BF is becoming a more popular trend in the

69 United States via annual BF soccer tournaments and fundraisers (e.g. Portland Barefoot
70 & World Soccer Festival, Grassroot Soccer). These events draw large numbers of players
71 of various ages and experience levels, many of whom who do not normally perform
72 athletic maneuvers BF.

73

74 An abundant amount of research has focused on athletic movements when wearing shoes;
75 however, few studies have focused on athletic tasks while BF. A common athletic task
76 while playing soccer is a cutting maneuver, which consists of a high-speed, lateral change
77 of direction [5]. Although lateral cutting maneuvers are important to game play, such
78 movements drastically increase the likelihood of injury particularly to the anterior
79 cruciate ligament [6]. Maneuvers which include rapid deceleration with a fixed foot and
80 the knee approximately 10-30° of flexion [6] have been identified as common
81 mechanisms of non-contact ACL injury in athletes.

82

83 Certain knee mechanics that have been identified as risk factors associated with ACL
84 injury incidence including: less knee flexion at initial contact, greater knee valgus
85 motion, a greater knee extension moment, and a greater knee valgus moment {Hughes,
86 2014 #301}. These risk factors are specific to females as there is little evidence regarding
87 ALC injury biomechanical risk factors specific to males (Alentorn-Geli, 2014). However,
88 Benjaminse et al. (2011) suggested that biomechanical differences during cutting and
89 jump landing maneuvers are not conclusively different between males and females.
90 Furthermore a if a male were to perform a cut with these risky mechanics the ACL would
91 still be stressed regardless of the gender of the athlete.

92

93 We hypothesize that the BF condition would have 1) no change in knee extension
94 moments; 2) no change in knee angle of initial contact; 3) no change in peak knee
95 abduction; 3) no change in peak knee frontal plane moments; 4) peak impact GRF will
96 not change between conditions; and 4) maximal rate of loading will be greater in the BF
97 condition.

98

99 **Methods**

100 *Participants*

101 Fifteen athletes from various NCAA Division III sports (e.g., basketball, soccer, lacrosse,
102 etc...) without lower limb pathologies volunteered to participate in this study (7 female, 8
103 male; age 20.19 ± 1.38 yr; mass 71.46 ± 10.18 kg; height, 1.71 ± 0.06 m). All subjects
104 read and signed the informed consent approved by the Institutional Human Subjects
105 Review Board of the University of New England.

106

107 *Procedures*

108 Retro-reflective markers were placed on the medial and lateral malleoli, first and fifth
109 metatarsal heads, and heels. Cluster markers were placed on the posterior pelvis, lateral
110 thighs and lateral lower legs. The pelvis was constructed using a modified Helen Hayes
111 pelvis (Davis, 1991). A regression formula was used to determine the hip joints (Bell,
112 1989). The knee joint was defined as the midpoint of the medial and lateral knee markers.
113 The ankle joint was defined as the midpoint of the malleoli. Each segment was allowed
114 six degrees of freedom. Shoes used for the shod condition were New Balance 623 (New

115 Balance, Boston, MA). Subjects were allowed to familiarize themselves with the cutting
116 maneuver for each condition. Five trials of the lateral cutting maneuver were collected for
117 each limb in both the SD and BF conditions. The order of the conditions was randomized.
118 Speed for all trials was set at 4.3 m/s with a window of error being $\pm 5\%$ of the target
119 speed. Speed was selected based on pilot testing and the ability of our subject's success
120 of completing the cutting maneuver. The speed was verified using Brower Photogates
121 (Brower, Draper, USA). Trials outside this speed were not included in the analysis.
122 Subjects were allowed an approach of approximately 8 m. The 45 degree angle was
123 marked with tape on the track surface (Figure 1). The motion of each subject was tracked
124 during the stance phase while completing a 45 degree lateral cutting maneuver with eight
125 Oqus Series-3 cameras (Qualisys AB, Gothenburg, Sweden) set at 240Hz.

126

127 Cutting maneuvers were performed on a force plate (AMTI Watertown, MA) set at
128 2400Hz with an indoor rubber track covering affixed to the surface of the plate (Super X,
129 All Sports Enterprises, Conshohocken, PA). Visual 3D (C-motion, Germantown, MD)
130 was used to apply a Butterworth filter with a cutoff of 12 Hz to kinematic data, a filter
131 with a cutoff of 50Hz to analog data (determined by retaining 95% of signal power
132 through a fast Fourier transformation) [10], and calculate all variables.

133

134 *Statistical Analysis*

135 SPSS v21 (IBM, Chicago, Illinois) was used to run a repeated measures MANOVA (limb
136 x condition) to determine statistical differences. Statistical significance was set at the $p \leq$
137 0.05 level of confidence.

138

139 **Results**

140 Cutting while barefoot produced greater knee flexion angles at initial contact ($p = 0.004$),
141 less knee abduction ($p = 0.029$), less of a knee extension moment ($p = 0.005$), and
142 subjects landed with a more anterior center of pressure ($p = 0.002$) than when shod (Table
143 1). The maximal knee extension moment was significantly greater ($p = 0.034$) in the non-
144 dominant limb than the dominant limb (Table 1).

145

146 **Discussion**

147 The purpose of this study was to determine if peak knee moments and foot strike
148 patterns were different between SD and BF conditions during lateral cutting maneuvers.
149 Ours was the first study that examined athletic maneuvers between BF and SD scenarios
150 and our study focused on the knee moments of extension, adduction, and external rotation
151 during the WA and PPO phases that have been linked to ACL stress. We hypothesized
152 the BF condition would have greater extension, adduction, and external rotation knee
153 moments than the SD condition during the WA and PPO phases and that there will be no
154 difference in foot strike patterns. Our findings; however, indicate that the peak knee
155 extension and external rotation moments during the WA phase are no different when SD
156 or BF. Furthermore, the SD condition produced a greater peak knee adduction moment
157 during the WA phase. No differences in peak knee moments were found in the PPO
158 phase. With regard to foot strike patterns, the BF condition had a more anterior center of
159 pressure location at initial contact. The results of this study suggest that BF cutting places
160 no greater torque on the knee than when SD.

161 Besier et al found that peak knee moments of extension, adduction, and external
162 rotation during the WA and PPO phases of a lateral cutting maneuver created the highest
163 load on the ACL, thus increasing the risk of a noncontact ACL injury (L. D. Besier T,
164 Cochrane J, Ackland T, 2001). The most detrimental forces associated with noncontact
165 ACL injuries include the combination of these knee movements along with a knee flexion
166 angle of approximately 20-30° (Alentorn-Geli, et al., 2009). Paquette also suggested an
167 increased risk for injury when there is no adaption period when shifting from SD to BF
168 running (Paquette M., 2012). Our study found greater peak knee adduction moments in
169 the SD condition during the WA phase while performing a cutting maneuver.

170 ACL injuries during lateral cutting usually occur early in the stance phase while
171 decelerating (Koga, et al., 2010; Krosshaug, et al., 2007). Females are 2-8 times more
172 likely to rupture their ACL than males (Agel, Arendt, & Bershadsky, 2005; Arendt &
173 Dick, 1995). Females also produce greater knee adduction moments than males when
174 performing a lateral cut (Malinzak, Colby, Kirkendall, Yu, & Garrett, 2001), which is
175 thought to be part of the mechanism responsible for the greater rate of ACL tears in
176 females. A prospective study found that females who later ruptured their ACL had greater
177 knee adduction moments during a single leg landing than those athletes who did not
178 rupture their ACL (Hewett, et al., 2005). Stearns et al. also found great knee adduction
179 moments when cutting in female soccer players who had ruptured their ACL than their
180 healthy counterparts (Stearns & Pollard, 2013). When comparing our results to Stearns,
181 our SD condition produced greater knee adduction moments (1.03 Nm/kg) than Stearns's
182 healthy control group (0.80 Nm/kg), but less than the ACL reconstructed group (1.33
183 Nm/kg). It is also interesting to note that our BF condition produced less of a knee

184 adduction moment (0.66 Nm/kg) than either Stearns's ACL reconstructed, or control
185 groups. Even though we were not able to run statistical tests comparing Stearn's and our
186 data, comparisons between the data demonstrate that performing the cutting maneuver BF
187 was able to reduce the knee adduction moments back to a value similar to a healthy
188 athlete.

189 Several key differences affect running mechanics when BF such as increased tactile
190 awareness of the floor, loss of the cushioning of a shoe, and the loss of the raised heel of
191 a shoe, all of which tend to lead to alterations in foot strike patterns (Lieberman, et al.,
192 2010). The majority of the mechanical changes during BF running stem from the
193 alteration in foot strike patterns. Similar to BF running, BF cutting shifted the center of
194 pressure to a more anterior position (Lieberman, et al., 2010). Research has shown that
195 running BF alters foot strike patterns and decreases knee extension joint moments
196 (Paquette M., 2012). Our findings suggest that even during a multi-planar maneuver such
197 as BF lateral cutting, alterations in foot strike patterns occur.

198

199 **Conclusions**

200 A limitation of this study is that the subjects rarely participated BF in field sports, so
201 the results of this study would be more applicable to a population new to BF sports.
202 Regardless of this limitation, cutting while BF altered foot strike patterns and resulted in
203 less of a knee adduction moment. As Hewett et al found, greater knee adduction
204 moments are predictive of an increased risk of ACL injury (Hewett, et al., 2005). These
205 findings suggest that performing lateral cutting maneuvers BF does not increase risk of
206 ACL rupture as compared to SD. As BF running continues to become increasingly

207 popular, playing sports BF may become more prevalent. Furthermore, it is important to

208 understand how other movements besides forward running affect the lower limbs.

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309 Figure 1: Lateral Cutting Course

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332 Figure 2: Phases of Stance

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Table 1: Comparison of Knee Mechanics between Shod and Barefoot, Mean (SD)

		Knee Flexion Angle at IC (degrees)	Peak Knee Valgus Angle (degrees)	Peak Knee Extension Moment (Nm/kg)	Peak Knee Valgus Moment (Nm/kg)	Center of Pressure at IC (m)
Shod	Dominant	-15.7 (5.7)	-6.5 (5.1)	2.20 (0.92)	-0.76 (0.35)	-0.05 (0.09)
	Non-dominant	-17.7 (5.9)	-5.4 (4.6)	2.54 (0.77)†	-0.74 (0.32)	-0.03 (0.08)
Barefoot	Dominant	-20.0 (5.3)*	-4.8 (4.1)*	2.09 (0.74)*	-0.73 (0.21)	0.03 (0.08)*
	Non-dominant	-21.6 (5.1)*	-4.7 (3.8)*	2.27 (0.88)†*	-0.91 (0.37)	0.05 (0.10)*

*Significantly different than Shod Condition

†Significantly different than Dominant Limb

IC = Initial Contact

Table 2: Intra-Class Correlations

		Knee Flexion Angle at IC	Peak Knee Valgus Angle	Peak Knee Extension Moment	Peak Knee Valgus Moment	Center of Pressure at IC
Shod	Dominant	0.906	0.995	0.984	0.875	0.731
	Non-dominant	0.885	0.883	0.984	0.886	0.874
Barefoot	Dominant	0.941	0.853	0.979	0.878	0.851
	Non-dominant	0.862	0.971	0.97	0.653	0.67

IC = Initial Contact