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Physical Therapy Management Of A Patient After A Subacromial Decompression with Acromioplasty And Bursectomy: A Case Report

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1 **Physical therapy management of a patient after a**
2 **subacromial decompression with acromioplasty and**
3 **bursectomy: a Case Report.**
4

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9

10 The patient signed an informed consent allowing the use of medical information for this
11 report and received information on the institution's policies regarding the Health
12 Insurance Portability and Accountability Act.
13

14
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18

19 **Abstract**

20 **Background:** Subacromial impingement results from repetitive trauma to structures
21 underneath the subacromial arch leading to a decrease in the subacromial space,
22 impingement of soft tissue, and ultimately a decrease in functional abilities.

23 Conservative treatment includes physical therapy, nonsteroidal anti-inflammatory drugs,
24 and corticosteroid injections. If improvements are not observed, there is a surgical
25 option of a subacromial decompression (SAD). Conclusive evidence supporting surgery
26 over conservative measures does not exist¹. Literature is lacking regarding SAD without
27 rotator cuff (RTC) repair and performing SAD with both an acromioplasty and
28 bursectomy. Therefore, the purpose of this case report was to investigate the functional
29 outcomes of a patient after SAD with an acromioplasty and bursectomy without RTC
30 involvement.

31

32 **Case Description:** SA was a 52 year old female presenting to outpatient physical
33 therapy after left arthroscopic SAD. SA reported onset of shoulder pain in 2013.
34 Conservative physical therapy was successful in improving symptoms until a fall
35 exacerbated her symptoms. She continued conservative therapy but it failed to improve
36 her pain and function. Two months later she had a SAD with an acromioplasty and
37 bursectomy. Physical therapy treatments included therapeutic exercises, home exercise
38 program, functional activities, modalities, manual therapy, and posture re-education with
39 the primary focus of reducing pain, improving ROM and improving functional ability.

40

41 **Outcomes:** Improvements were observed in left shoulder active and passive ROM,
42 strength, pain, and functional outcomes.

43

44 **Discussion:** Outcomes indicated that therapeutic exercises, manual therapy, and
45 modalities following arthroscopic SAD with both an acromioplasty and bursectomy were
46 beneficial for a patient without RTC involvement. More research is needed to support
47 the advantages of this procedure combined with physical therapy in improving functional
48 outcomes.

49

50 Manuscript word count: 3,491

51 **Background**

52 Subacromial impingement results from repetitive trauma to structures underneath
53 the subacromial arch leading to a decrease in the subacromial space, impingement of
54 soft tissue, and ultimately a decrease in functional abilities. Soft tissue structures that
55 may be involved include: rotator cuff (RTC) muscles and tendons, the long head biceps
56 brachii, the coracoacromial ligament, subacromial bursa, and glenohumeral capsule.
57 Impingement can also occur from weakness or imbalances in the RTC and the scapular
58 musculature resulting in poor mechanics of shoulder movement which stresses and
59 compresses the subacromial structures. Other causes include tightness of the
60 glenohumeral capsule, bony abnormalities, and poor posture. Approximately 44-65% of
61 complaints of shoulder pain at a physician's office can be attributed to subacromial
62 impingement, making it the most common disorder of the shoulder².

63 Treatment of subacromial impingement includes both conservative and surgical
64 options. Conservative options include physical therapy, nonsteroidal anti-inflammatory
65 drugs (NSAIDS), and corticosteroid injections. Due to the variety of structures involved
66 and in the mechanism of injury, the literature on success of conservative treatment also
67 varies. Outcomes examined included pain, strength, ROM, functional activities, and
68 occurrence of surgery. Studies by Hallgren et. al³ and Litchfield⁴ found similar results in
69 that specific exercise group had more reports of successful outcomes than the control
70 group of unspecific exercise. Furthermore, fewer patients in the specific exercise group
71 went on to have surgery after completion of the program. Exercises used included
72 eccentric strengthening of the RTC in addition to concentric and eccentric strengthening
73 of the scapular stabilizers. However, there is still need for literature containing higher

74 levels of evidence to support physical therapy as an effective treatment of subacromial
75 impingement⁵. Before resorting to surgery, most surgeons trial conservative options for
76 roughly 6 months to see if any improvements can be made⁶.

77 When conservative treatment fails, subacromial decompression (SAD) is a
78 surgical option. SAD can be performed with or without an acromioplasty or bursectomy.
79 If there is a tear or damage to the tendons, a debridement or repair can be performed.

80 A systematic review in 2013 reported that 3 studies compared physiotherapy or
81 exercises to arthroscopic or open SAD. Short and long term follow ups showed no
82 differences in pain, function, or time for recovery¹. In contrast, a perspective study by
83 Lunsjo et al⁷, it was found that improvements seen in the Disability of the Arm Shoulder
84 and Hand questionnaire (DASH) and the Visual Analog Scale (VAS) 6 months after
85 SAD were maintained or improved further 6 years after the surgery. Three additional
86 studies found that early initiation of dynamic strengthening exercises yielded greater
87 gains in range of motion (ROM) at 3 week and 12 week follow ups, although, however,
88 found no difference in reduction of pain¹. A main concern is that damage can occur with
89 early initiation of exercises. However, a pilot study by Klintberg et al⁸ found that it is safe
90 to initiate the rehabilitation process the same day as the surgery.

91 Conclusive evidence supporting surgery over conservative measures does not
92 exist¹. Furthermore, a randomized controlled trial by Haahr et. al⁹ reported that surgery
93 did not yield better results than physiotherapy, even after a one year follow up.

94 Research indicates that an arthroscopic SAD is a successful measure in
95 reducing pain and signs of impingement¹⁰. According to a systematic review by
96 Donigan, Fellow and Wolf¹¹ in 2011, there is limited literature available regarding SAD

97 being performed with an acromioplasty or bursectomy, as a result, there is little to no
98 literature supporting which procedure yields more improvements. While there is
99 conflicting evidence on the benefits of SAD, almost all cases reviewed involved the
100 RTC. A study by Olsewski and Depew¹⁰ found an 81% satisfactory rate among patients
101 who had an arthroscopic SAD without rotator cuff involvement, suggesting SAD is
102 beneficial even without RTC pathology. Literature is lacking supportive evidence of SAD
103 without RTC repair or performing SAD with both an acromioplasty and bursectomy.
104 Therefore, the purpose of this case report was to investigate the functional outcomes of
105 a patient after SAD with an acromioplasty and bursectomy without RTC involvement.

106

107 **Case Description**

108 SA was a 52 year old female presenting to outpatient physical therapy after a left
109 arthroscopic SAD. In 2013 she began having left shoulder pain. An MRI revealed a
110 labral tear. She began physical therapy as a conservative measure that consisted of
111 RTC and scapular strengthening exercises which was successful until a fall
112 exacerbated her symptoms. Upon continuation of therapy, she found it failed to improve
113 her pain and function. Two months later she had a SAD with an acromioplasty and
114 bursectomy.

115 An MRI indicated that SA had a RTC tear and superior labral tear from anterior to
116 posterior (SLAP) lesion. Though surgery discovered neither pathology was present, the
117 surgeon concluded the SAD with an acromioplasty and bursectomy was still a viable
118 option to improve function and symptoms.

119 SA reported no limitations prior to initial injury and date of onset. After surgery,
120 she required assistance for all activities of daily living (ADL's) as well as instrumental
121 activities of daily living. She was unable to work secondary to pain and surgery. SA's
122 personal goal for physical therapy was to regain her independence with ADL's and
123 return to work.

124 SA denied any known family history of cancer, coronary artery disease, diabetes,
125 or stroke. She generally in good health but had high blood pressure controlled by
126 medication and occasional experienced palpitations. Other past medical history
127 included hypothyroidism, Gastroesophageal reflux disease (GERD), and hiatal hernia.
128 There was no pertinent past surgical history.

129 SA she lived in a home with her husband and two teenage children. SA's worked
130 as a hospital's quality data analyst. No other clinical testing had been performed. SA
131 was on medications for high blood pressure, enlarged thyroid glands, GERD, and
132 Ibuprofen, as needed for pain.

133 Table 1 describes the review of systems performed on SA at her initial physical
134 therapy evaluation.

135 **Clinical Impression 1**

136 Based on on subjective reports of difficulty with performing ADL's and pain with
137 movement of the left upper extremity and a report of feeling stiff in the neck, it was
138 hypothesized that SA had deficits in shoulder and neck ROM, strength, and functional
139 mobility. Since the surgical area was red and inflamed, it was also hypothesized that SA
140 may complain of tenderness with palpation to bony shoulder structures and soft tissue.

141 Due to these expected impairments and limitations, further tests and measures
142 were warranted and it was suspected that SA would benefit from skilled physical
143 therapy. It was hypothesized that therapy would focus on passive and active ROM, pain
144 reduction, and strengthening of the RTC muscles as well as other supporting shoulder
145 stabilizers, in order to improve functional mobility and activity.

146 Tests and measures included active and passive ROM, manual muscle testing
147 (MMT), skin integrity palpation, and joint play assessment. After identifying specific
148 impairments, an individualized physical therapy treatment plan was created.

149 SA was a good candidate for a case report because there is limited literature
150 available on SAD for a patient without RTC involvement. Similarly, there is no literature
151 regarding the benefits of performing both an acromioplasty and bursectomy. As a result,
152 this case report will add to the literature by reporting the outcomes SA experienced from
153 a physical therapy treatment plan which included therapeutic exercises, manual
154 therapy, and modalities following a SAD with acromioplasty and bursectomy.

155

156 **Examination**

157 Tests and measures performed during the initial examination included ROM,
158 MMT, palpation, VAS pain scale, and the American Shoulder and Elbow Surgeons
159 Survey (ASES). Special tests were deferred because SA was seen post surgically. As
160 hypothesized, SA had significant deficits for neck ROM, left shoulder ROM, and left
161 upper extremity strength. Refer to Tables 2 and 3 for measurements obtained.

162 With palpation, SA reported tenderness and tightness bilaterally in her posterior
163 cervical musculature. There was increased density and tone of musculature of the left
164 shoulder. All left bony prominences shoulder muscles were tender to touch.

165 SA had fair resting standing posture with slight forward head and rounded
166 shoulders. SA was wearing a sling and displayed active muscle guarding to protect the
167 operated shoulder. SA scored a 9.99 when rating her left upper extremity's ability on the
168 ASES, which correlates to poor function and severe limitations. Several studies have
169 found the ASES to be valid, reliable and responsive to significant changes for patients
170 with shoulder dysfunction^{12, 13}.

171 The VAS 0 to 10 scale was used to assess pain. At initial examination, SA
172 reported a constant 9/10 pain. Typically it was a dull ache, but occasionally was sharp.
173 The VAS has been found to be valid and reliable for acute and chronic musculoskeletal
174 pain^{14, 15}.

175 Several studies are published in the literature supporting high reliability and
176 validity of ROM using a goniometer. Kolber and Hanney¹⁶, found that goniometer and
177 digital inclinometers could be used interchangeably, with an expected range between
178 tools to be 2°. Both were found to have high interrater reliability as well as good
179 concurrent validity. MMT was used to assess muscle strength and has been found to be
180 reliable and valid for neuromuscular and musculoskeletal disorders. Several
181 randomized control trials have demonstrated high inter-rater reliability¹⁷.

182

183

184

185 **Clinical Impression 2**

186 After her evaluation, the initial hypothesis was supported. Refer to Table 4 for a
187 list of findings. These are expected and consistent with physical therapy practice pattern
188 Pattern 4I: Impaired Joint Mobility, Motor Function, Muscle Performance, and Range of
189 Motion Associated With Bony or Soft Tissue Surgery.

190 Based on information gathered during the history and interview, SA had excellent
191 potential for improvement by participating in physical therapy. SA had a very supportive
192 family. There were no complications with the surgery and all suspected impaired
193 structures from the MRI were found to be intact and undamaged. There was no
194 anticipated delay in the healing process. SA was very motivated to regain her
195 independence with functional activities and to return to work. Though SA had
196 hypertension, it was controlled through medications and not expected to complicate her
197 recovery.

198 Biberthaler et al¹⁸ investigated the impact of age on an individual's potential to
199 benefit from SAD. Their study had a patient population with a mean age of 57 years old
200 who saw significant benefits from arthroscopic SAD. At 52 years old, this article
201 suggested that SA's age would not be a limiting factor in her recovery.

202 Factors that may negatively impact her prognosis include her medical history of
203 hypertension, hypothyroidism, palpitations, GERD, and a hiatal hernia. Hypertension
204 and palpitations increase the amount of stress blood vessels receive, potentially
205 compromising delivery and diffusion of blood and nutrients to the left shoulder, and
206 could delay the healing process and rehabilitation because increased risk for a stroke or
207 a heart attack. SA's BMI of 28.3 classifies her as overweight, potentially indicative of

208 improper nutrition habits which could lead to impaired healing process. A delay in the
209 healing process can prolong her sensitivity and inflammation period. The longer she
210 stays immobile, the higher chance for increased scar formation and adhesion to
211 surround structures which could lead to adhesive capsulitis.

212 Hypothyroidism is associated with symptoms such as weight gain, fatigue, cold
213 intolerance, and depression. As a side effect she may have a decreased activity
214 tolerance and become easily fatigued during treatment sessions. Being a 52 year old
215 female she could possibly be going through menopause. The combination of the two
216 hormone imbalances could cause a delay in her body's ability to respond to the surgery
217 and initiate the proper immunologic response. She may experience an imbalance and
218 become depressed decreasing her motivation and willingness to participate in therapy.
219 All these factors could result in a delay of the healing process, increasing the time to
220 achieve goals and outcomes. Potentially, these comorbidities could lead to a referral for
221 further consult or testing which could put a hold on the rehabilitation process.

222 SA appeared to be a very anxious individual which could be a limiting factor. She
223 was afraid to re-injure herself or cause any damage. This could lead to non-compliance
224 with a home exercise plan (HEP), increased muscle guarding or avoidance of using the
225 left upper extremity. Anxiety attacks can lead to increase blood pressure, palpitations,
226 and unhealthy eating habits. It is believed her anxiety became a limiting factor and
227 prevented her from attaining higher ROM with stretching, progressing her strength
228 exercises, and deterred her from fully participating in her HEP.

229 Hospital protocols for SAD suggest 3 to 6 months recovery time after surgery,
230 similar to the recovery time after RTC surgery. SA was anticipated attending physical
231 therapy for at least 6 months post-surgery.

232 There was no indication that SA required a referral to any other health care
233 professionals. No additional tests and measures were indicated, however, reevaluations
234 occurred once every month to monitor progress and reassess treatment plan.

235 Interventions included therapeutic exercises, stretching, strengthening, HEP, functional
236 activities, modalities for pain management, manual therapy, posture re-education, joint
237 mobilizations, and soft tissue mobilization with the primary focus of reducing pain,
238 improving ROM and improving functional ability.

239 SA's short term goals included increasing her active cervical and left shoulder
240 ROM, increasing strength for left upper extremity MMT, report a decrease in pain and to
241 discontinue wearing the sling. Goals for discharge included returning to work with
242 minimal to no pain, have left shoulder ROM within normal limits, demonstrate strength
243 of at least a 4+/5 for all left shoulder MMT, and to be independent with her HEP.

244

245 **Interventions**

246 SA received 15 treatment session status post arthroscopic SAD lasting 30 minute
247 s, 2 times per week. Due to pending insurance approval, 1 week was missed. Two
248 treatments were re-evaluations and as a result few exercises were performed.

249 Each session was documented using the hospital's electronic medical system
250 and exercises were documented on a flow sheet in SA's paper chart. Progress was
251 communicated to her referring surgeon through faxing evaluation and re-evaluation

252 notes on a monthly basis. In order to gain approval, similar documentation had to be
253 communicated to the insurance company. Coordination and communication with other
254 PT and PTA's was accomplished verbally in order to maintain consistent care of SA
255 throughout treatment.

256 During her initial evaluation, SA was educated on the findings of the evaluation,
257 how physical therapy would help address the deficits identified, and the plan of care that
258 had been determined. She was also instructed on sling usage, the application and
259 benefits of ice and heat, and good posture.

260 Upon completion of the evaluation, SA was instructed using a teach back method
261 on cervical ROM exercises and stretches to perform at home as part of her HEP. This
262 was decided because SA complained of significant neck pain and stiffness during the
263 history portion of the evaluation and when examined, she presented with significant
264 deficits.

265 Subsequent treatment began with a moist hot pack applied to heat soft tissue
266 surrounding the left shoulder joint in preparation for PROM and stretching. Codman's
267 pendulums were performed to help promote blood flow to the area in order to improve
268 the healing process as well as provide distraction of the glenohumeral joint which can
269 provide some pain relief. PROM was performed to increase the available range in the
270 left shoulder, to encourage mobility to prevent adhesive capsulitis, promote ligament
271 and capsular remodeling, and inhibiting pain through stimulation of mechanoreceptors.
272 PROM was progressed to active assisted (AAROM) and active (AROM) as pain
273 reduced and SA was able to actively participate in therapy sessions. AROM and
274 AAROM benefits include prevention of clot formation by helping to keep blood moving,

275 increasing proprioceptive input of shoulder, and reduction of effects of immobility. Due
276 to capsular tightness, joint mobilizations were included into the plan of care. Using joint
277 mobilization, PROM and stretching in conjunction has been shown to have more of an
278 impact and increase in range achieved¹⁹. As pain was reduced and range improved,
279 strength training was initiated in functional movements for the shoulder complex, elbow,
280 and wrist. Treatment was concluded with a cold pack, with or without IFC electrical
281 stimulation. Please refer to Chart 1 for a flowsheet of exercises performed for each visit.

282 There was no indication that SA will require a referral to any other health care
283 professionals.

284

285 **Outcomes**

286 Improvements were observed in left shoulder ROM, strength, pain, and functional
287 outcomes. Chart 2 demonstrates improvements in shoulder ROM from initial evaluation
288 to the two re-evaluations. At the initial evaluation, end feel for all left shoulder ROM
289 were empty and limited by pain. At second re-evaluation, range was still limited by pain,
290 but the end feel was capsular. Internal and external rotation were taken at 45° of
291 abduction but as range was gained, patient was able to attain 90° of abduction to
292 measure rotation. Functionally, she was only able to reach her left hand behind her
293 back (internal rotation) to rest at her left mid gluteal region. This motion improved to the
294 L4 spinous process after two months of treatment. Improvements were also seen with
295 cervical ROM as well as with right shoulder ROM.

296 Similarly, SA saw significant improvements in strength of her left upper extremity.
297 Changes from initial visit to the re-evaluations can be seen in Table 3. With her left

298 upper extremity, SA was unable to perform the range against gravity due to significant
299 pain after surgery. By two months, SA demonstrated measurements of similar muscle
300 grades of her right upper extremity.

301 SA also demonstrated improvements pain with palpation and the tonicity of the
302 neck and shoulder musculature. At initial evaluation, SA was hypersensitive to touch. At
303 the second re-evaluation, SA continued to present with slight tenderness at bony
304 landmarks and the RTC muscles of the left shoulder. Some increased tone was still
305 present in the left upper trapezius and rhomboids, however, tenderness was no longer
306 present.

307 There were no noticeable improvements in SA's posture, despite reporting
308 compliance and independence with postural correction at home.

309 Initially, SA reported to be a 9/10 at all times. By the second re-evaluation, SA
310 reported at best her pain was a 2/10, with occasional 7/10 pain.

311 Lastly, SA saw improvements with her functional outcome scores on the ASES.
312 At initial visit, she scored a 9.99 on the ASES and after two months of participating in
313 therapy, she scored a 34.989 which indicates a huge improvement in functional
314 activities.

315

316 **Discussion**

317 As expected, improvements were observed with left shoulder and cervical ROM
318 and left shoulder strength, pain, and functional outcomes. However, it was not
319 anticipated to see improvements in right shoulder ROM. It is hypothesized that
320 improvements were a result of regaining functional abilities of her left shoulder and
321 reducing the tonicity in the posterior shoulder muscles bilaterally. Due to immobility of

322 her left upper extremity after surgery, the right upper extremity was compensating and
323 put under more stress. Since exercises were performed bilaterally, the right upper
324 extremity also benefited from physical therapy.

325 The change in end feel from empty to capsular indicates that deficits in ROM
326 were no longer due to pain, but rather capsular and/or soft tissue tightness.
327 Improvements in the tonicity of the shoulder musculature was attributed to a decrease in
328 active muscle guarding as pain and anxiety of re-injury was reduced. The decrease in
329 cervical stiffness and musculature tonicity was hypothesized to be the result of
330 discontinued use of the sling on the left upper extremity.

331 SA's ASES score went from 9.99 upon initial examination to 34.989 after two
332 months of physical therapy. This increase in ASES score and subjective data gathered
333 indicated that SA was showing functional improvement in her ability to perform daily
334 tasks with respect to her left upper extremity and was satisfied with how the surgery
335 improved her quality of life. Similarly, reductions in pain and symptoms indicate the
336 surgery was successful. These results support those found by Olsewski and Depew¹⁰
337 for participants with impingement who underwent SAD but did not have a tear in the
338 RTC. Though not performed, a quality of life and satisfaction survey would have been
339 beneficial to note actual changes.

340 A long term follow up was not performed. However, due to similar improvements
341 seen in outcomes by Lunsjo et al⁷ with DASH and VAS, it is hypothesized that SA would
342 maintain her improvements years after the surgery. The participants included in this
343 study also had subacromial pain with failed conservative measures, and were excluded
344 if there was a tear of the RTC.

345 Physical therapy began roughly 2 weeks after SAD, which is a relatively early
346 initiation. However, this case report did not yield similar results found by Tashjian¹ in
347 regards to greater gains in ROM. This may be due to SA's anxiety, fear, and difficult
348 relaxing during stretching.

349 The outcomes of this case report indicate that therapeutic exercises, manual
350 therapy, and modalities for shoulder impingement without involvement of the RTC
351 following an arthroscopic SAD with both an acromioplasty and bursectomy is beneficial.
352 More research is needed to support the advantages of this procedure combined with
353 physical therapy over other treatments in improving ROM, strength and functional
354 outcomes.

355

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413

414 **Tables and Figures**

| Cardiovascular/Pulmonary System | |
|---|---|
| Impaired | High blood pressure controlled with medication. |
| Integumentary System | |
| Impaired | There were three incision scars (anterior, lateral and posterior L shoulder) which all appeared to be healing well with no signs of infection or delayed healing process. |
| Musculoskeletal System | |
| Impaired | <ul style="list-style-type: none"> •Gross L upper extremity range of motion (ROM) and gross strength were impaired. •Gross R upper extremity ROM and gross strength were impaired. •Bilateral lower extremity ROM and strength were within functional limits (WFL). •Grossly symmetrical: No visible/noted differences •Height = 154.94 cm •Weight = 68.237 kg •BMI = 28.3 |
| Neuromuscular System | |
| Not Impaired | (ie. Balance, gait, locomotion, transfers, transitions, motor control and learning) |
| Communication, Affect, Cognition, and Learning Style | |
| Not Impaired | <ul style="list-style-type: none"> •Patient was alert and oriented x 3 (person, place, time). •Patient was right handed. •Her preferred learning style was with pictures and demonstrations. There were no known barriers. Her educational needs include: safety/precautions, use of sling, general rehabilitation process/plan of care, and application of heat. |

415 **Table 1: Systems Review**

| Cervical Spine Motion (degrees) | | ROM Value | | | |
|---------------------------------|-------|-----------|------|------|--|
| Flexion | | 18 | | | |
| Extension | | 21 | | | |
| Left lateral flexion | | 17 | | | |
| Right lateral flexion | | 17 | | | |
| Left rotation | | 26 | | | |
| Right rotation | | 29 | | | |
| Shoulder Motion (degrees) | Right | | Left | | |
| | AROM | PROM | AROM | PROM | |
| Flexion | 133 | NT | 35 | 54 | |
| Extension | 28 | NT | 10 | NT | |
| Abduction | 100 | NT | 45 | 56 | |
| Internal rotation | 60 | NT | 11 | 20 | |
| External rotation | 33 | NT | 11 | 15 | |

416 **Table 2: Cervical and Shoulder ROM, initial evaluation**
417 Pain limited all cervical ROM. Flexion, extension, left and right side bend were
418 performed in a seated position. Rotation was performed supine.
419 Elbow and Wrist ROM values not taken because WFL. Extension was measured in
420 standing. Flexion, abduction, IR, and ER were measured in supine. Pain limited left
421 shoulder ROM. Soft tissue restriction/end feel with IR and ER. Empty end with flexion
422 and abduction. IR/ER taken at 45° shoulder abduction because patient unable to reach
423 90°. Soft end feel for all R shoulder motions.

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| Shoulder | Right | | | Left | | |
|-----------|-------|------|-----|------|------|-----|
| | 6/13 | 7/11 | 8/8 | 6/13 | 7/11 | 8/8 |
| Flexion | 4 - | 4 - | 4 | 2- | 3+ | 4 - |
| Extension | 4+ | 4+ | 4+ | 2- | 3+ | 4 |
| ABD | 4 - | 4 - | 4 | 2- | 3 | 4 - |
| ADD | NT | NT | NT | NT | NT | NT |
| IR | 4+ | 4+ | 4+ | 2- | 3+ | 4 |
| ER | 4 - | 4+ | 4+ | 2- | 3+ | 4 - |

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Table 3: Shoulder MMT

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Visit 6/13 was the initial examination; 7/11 was at first re-evaluation; and 8/8 was

430

second re-evaluation.

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Please refer to Appendix B for explanation of MMT grades.

432

All performed standing. Pain with all L MMT.

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| Impairments | Functional Limitations | Disabilities |
|---|--|---|
| <ul style="list-style-type: none"> •Decreased cervical ROM •Decreased L shoulder ROM •Decreased L upper extremity strength •Pain, tenderness, sensitivity •Posture •Decreased flexibility •Increased muscle tone | <ul style="list-style-type: none"> •Dependence or assistance for all ADL's •Difficulty sleeping •Unable to reach arm behind back •Decreased Activity tolerance •Difficulty with bed mobility •Difficulty with functional mobility and activities | <ul style="list-style-type: none"> •Unable to work |

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Table 4: ICF Classification of SA's findings

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| Exercise/Visit # | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 |
|--|--------------|----------|---------------------|--------------------------|--------------------------|--------------------------|----------|------------|--------------------------------------|----------|------------|------------|------------|------------|------------|
| moist hot pack to L shoulder, anterior and posterior | Initial Eval | 8 min | 8 min | 8 min | 8 min | 8 min | 8 min | 8 min | 8 min | 8 min | 8 min | 8 min | 8 min | 8 min | 8 min |
| Codman's pendulums | | 15x each | 15x each | HEP | ----- - | ----- | ----- | ----- - | ----- - | ----- | ----- - | ----- - | ----- - | ----- - | ----- - |
| PROM - shoulder (flex/ext/abd/IR/ER) - elbow (flex/ext/supination/pronation) - wrist (flex/ext) | | 15x each | 15x each | 15x each | 15x each | 15x each | 15x each | 15x each | 15x each D/C wrist and elbow PROM | 15x each | 15x each | 15x each | 15x each | 15x each | 15x each |
| Scapular AROM (elevation/depression/pronation/retraction) | | 10x each | 15x each | 20x each | 20x each | 20x each | D/C | ----- - | ----- - | ----- | ----- - | ----- - | ----- - | ----- - | ----- - |
| seated stretches: shoulder flex/abduction/ER | | 15x each | 15x each | HEP | ----- - | ----- | ----- | ----- - | ----- - | ----- | ----- - | ----- - | ----- - | ----- - | ----- - |
| AAROM cane exercises: flex, ER, abduction | | | Initiate next visit | 10x each with 5 sec hold | 10x each with 5 sec hold | 10x each with 5 sec hold | D/C | ----- - | ----- - | ----- | ----- - | ----- - | ----- - | ----- - | ----- - |

| ExerciseVisit # | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 |
|--|---|---|---------------------|---------------------|-------------|---------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------|----------------|----------------|----------------|
| ER cane stretch | | | | | | | Initiate next visit | 3x 20 sec | ----- - | ----- - | ----- - | ----- - | ----- - | ----- - | ----- - |
| IR towel stretch | | | | Initiate next visit | 3x 20 sec | 3x 20 sec | | 3x 20 sec | ----- - | ----- - | ----- - | ----- - | ----- - | ----- - | ----- - |
| elbow and wrist flex/ext | | | Initiate next visit | 10x with 1# | 10x with 1# | 10x with 1# | D/C | ----- - | ----- - | ----- - | ----- - | ----- - | ----- - | ----- - | ----- - |
| joint mobilization : inferior and posterior glides | | | | | | Initiate next visit | 30 sec hold x 5 each | 30 sec hold x 5 each | 30 sec hold x 5 each | 30 sec hold x 5 each | 30 sec hold x 5 each | | | | |
| standing rows (scapular retraction) | | | | | | | Initiate next visit | yellow band 10x | | blue band 2x10 | blue band 2x10 | blue band 3x10 | blue band 3x12 | grey band 2x10 | grey band 2x11 |

| Exercise Visit # | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 |
|---|---|--------|--------|--------|--------|--------|--------|--------|---------------------|--|--|--|--|--|------------------------------------|
| IE/ER therabands | | | | | | | | | Initiate next visit | IR = blue band 2x10 ER = red band 2x10 | IR = blue band 2x10 ER = red band 2x10 | IR = blue band 2x10 ER = red band 2x10 | IR = blue band 3x10 ER = red band 3x10 | IR = blue band 3x10 ER = red band 3x10 | IR = black 2x10 ER = red band 2x10 |
| shoulder flexion | | | | | | | | | Initiate next visit | 10x with 1# | 10x with 1# | 10x with 1# | 10x with 1# | 2x10 with 1# | 2x10 with 1# |
| Shoulder abduction | | | | | | | | | | Initiate next visit | 10x with 1# | 10x with 1# | 2x10 with 1# | 2x10 with 1# | |
| electrical stimulation, IFC | | 10 min | 10 min | 10 min | 10 min | 10 min | D/C | ----- | ----- | ----- | ----- | ----- | ----- | ----- | ----- |
| cold pack L shoulder anterior and posterior | | | | | | | 10 min | 10 min | 10 min | 10 min | 10 min | 10 min | 10 min | 10 min | 10 min |

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Chart 1: Interventions performed each visit

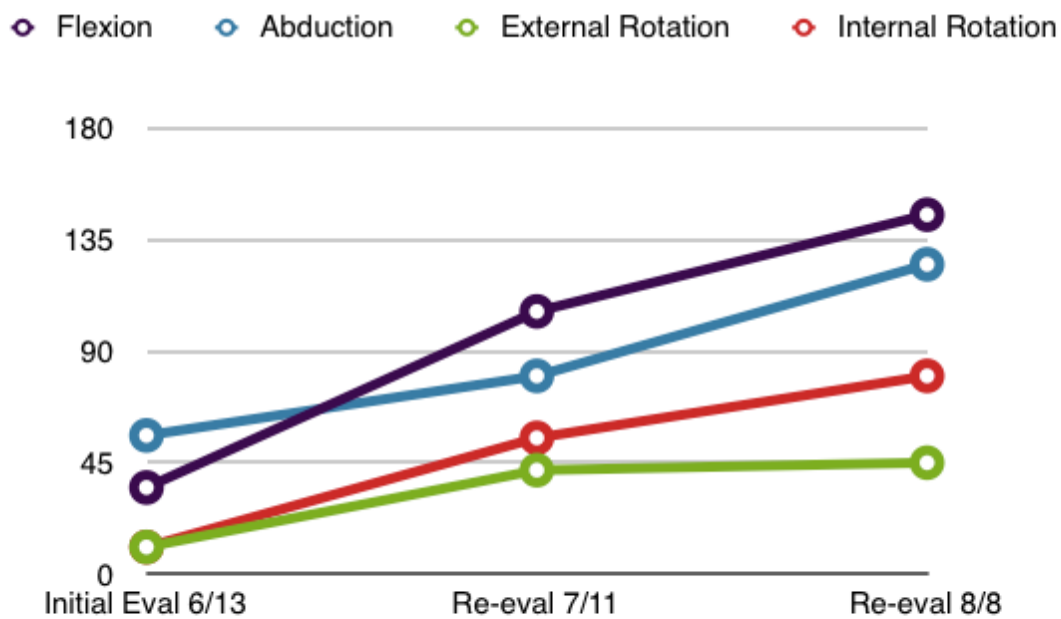


Chart 2: *Shoulder ROM at initial and re-evaluations*

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447 **Appendices**448 *Appendix A: ASES form.*

449 Score was calculated by entering SA's responses into the online website at:

450 http://www.orthopaedicscore.com/scorepages/patient_completed_score.html

451

452

453 *Appendix B: Manual Muscle Test Grade Guide*

454 From: Kendall FP. Muscles, Testing and Function with Posture and Pain. Lippincott

455 Williams & Wilkins; 2005.

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| Grade | Meaning |
|-------|---|
| 0 | No visible or palpable contraction |
| 1 | Trace: Visible or palpable contraction |
| 2- | Poor -: Partial ROM, gravity eliminated |
| 2 | Poor: Full ROM, gravity eliminated |
| 2+ | Poor +: Gravity eliminated/slight resistance or < 1/2 range against gravity |
| 3- | Fair - : > 1/2 but < Full ROM, against gravity |
| 3 | Fair: Full ROM against gravity |
| 3+ | Fair +: Full ROM against gravity, slight resistance |
| 4- | Good - : Full ROM against gravity, mild resistance |

| Grade | Meaning |
|-------|--|
| 4 | Good: Full ROM against gravity, moderate resistance |
| 4+ | Good +: Full ROM against gravity, almost full resistance |
| 5 | Normal: maximal resistance |