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Functional Mobility Management Of A Patient With Adult-Onset Hereditary Proximal Motor Neuropathy Following A Tibial Fracture: A Case Report

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6	Functional Mobility Management of a Patient with Adult-Onset Hereditary Proximal Motor Neuropathy
7	Following a Tibial Fracture: A Case Report
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9	Timothy Lira
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16	
17	The patient signed an informed consent allowing the use of medical information and picture/video
18	footage for this report and received information on the institution's policies regarding the Health
19	Insurance Portability and Accountability Act.
20	
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25	

26 Abstract

27 Background & Purpose

28 Adult-onset hereditary proximal motor neuropathy (AHPMN) is a subcategory of spinal muscular 29 atrophy, caused by survival motor neuron gene mutation. This rare disease, affecting approximately 1 in 30 10,000 people, presents as proximal weakness and muscle wasting, more commonly in the lower 31 extremities, in addition to gait unsteadiness and difficulty standing. Additionally, the lifetime risk of 32 developing CHF is one in five; Since the diagnosis poses a risk factor for falling, it may increase the 33 likelihood of falls. Regarding seniors over the age of 65, fall-related injuries increased from 49.4% to 34 58.8% between 2005 and 2013, accounting for 2.5 million older adults treated in the emergency 35 department for falls. There is limited literature currently describing the physical therapy (PT) 36 management for AHPMN. The purpose of this case report was to document the outcomes of various 37 functional mobility interventions for a geriatric patient with a left tibial fracture, secondary to a fall, with 38 AHPMN and CHF.

39 **Case Description**

40 GL was a 77 year-old male with limited baseline activity, who sustained a tibial fracture secondary to 41 falling while walking up a ramp. Significant medical history included AHPMN and CHF.

42 **Outcomes**

The Lower Extremity Functional Scale, manual muscle testing, and gait pattern assessment were all used
to assess the functional progress, with improvements in all three categories demonstrated.

45 **Discussion**

This case report provides opportunity to describe the PT management of a patient with AHPMN who sustained a tibial fracture. Upon discharge, the patient had achieved all set goals to assist with improvements in independent functional mobility. There is opportunity for further investigation in this area of PT for comparison in the benefits of the interventions performed.

50 Manuscript word count: 2,699

51 Background & Purpose

52 Adult-onset hereditary proximal motor neuropathy (AHPMN) is a subcategory of spinal muscular atrophy, which is caused by survival motor neuron gene mutation.¹ This rare disease, affecting 53 54 approximately 1 in 10,000 people, presents with the primary symptoms of proximal weakness and 55 muscle wasting of the limbs, more commonly in the lower extremities, in addition to gait unsteadiness and difficulty standing.^{1, 2} Concerning the diagnosis of congestive heart failure (CHF), the lifetime risk 56 57 of development is one in five, and as the diagnosis poses a risk factor for falling it may, in turn, increase the likelihood of falls.^{3, 4} Furthermore, regarding seniors over the age of 65, fall-related injuries 58 59 increased from 49.4% to 58.8% (per 1000 population) between 2005 and 2013, which, for this population, accounts for 2.5 million older adults treated in the emergency department for falls.^{5, 6} This 60 patient, with both AHPMN and CHF diagnoses, was referred to physical therapy (PT) after acquiring an 61 62 acute left (L) tibial fracture secondary to falling while walking up an inclined ramp. An open reduction 63 internal fixation (ORIF) procedure was performed the following day. There is limited literature currently describing the physical therapy (PT) management for AHPMN; thus, this case report may help fill a gap 64 65 in the literature, which is sparse with functional mobility treatment of a patient with an acute L tibial 66 fracture, who has AHPMN. For that reason, this case could provide future clinicians the opportunity for 67 intervention recreation with a comparable patient with relatively similar clinical presentation, symptoms, 68 or comorbidities. The purpose of this case report was to document the outcomes of various functional mobility interventions for a geriatric patient with an acute L tibial fracture, secondary to a fall, with 69 AHPMN and CHF. 70

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76 Case Description: Patient History & Systems Review

77 The patient was a retired 77 year-old male who was married and lived with his spouse and was 78 surrounded with good family support. He lived in a private home with three steps to enter and a flight of 79 stairs inside to reach the basement. The patient required the use of a rollator for ambulation and daily 80 mobility. Overall health was self-rated as fair and the patient denied any major life changes during the 81 past year, with the exception of his most recent fall and subsequent lower left extremity (LLE) ORIF. 82 The patient gave consent for participation in both PT treatment as well as a subsequent case report by 83 the author. The patient denied both smoking and drinking and remained as active as his mobility and 84 independence would allow. There was no known family history of heart disease, hypertension, stroke, 85 diabetes, cancer, psychological issues, arthritis, or osteoporosis per the patient's best knowledge; 86 however, he was diagnosed with AHPMN and CHF years prior to his fall and PT. He had difficulty with 87 locomotion/movement including gait on level surface, stairs, getting in and out of her car, and 88 transitioning from sitting to standing. Additionally, he had difficulty with self-care including donning 89 and doffing his socks. Because of difficulty with these tasks, the patient required assistance at times, and 90 therefore, was not fully independent. The patient denied taking any medications, and was on a low 91 sodium diet for his CHF with good effect. He had an X-Ray and ORIF following his fall, but otherwise 92 denied any further clinical tests being performed within the past year. The primary goal of the patient 93 was returning to and optimizing his functional mobility, both at home and in the community. 94 Information regarding the Systems Review can be found in Table 1.

95

96 Clinical Impression #1

According to the International Classification of Functioning, the patient's primary problem or health
condition was a L tibial fracture. Impairments related to both body structure and functions included
decreased postural control, forward-flexed genu recurvatum gait with foot slap, decreased gross LE
strength, decreased passive range of motion (PROM), and increased pain with activity.⁷ The patient was

101 limited in functional activities such as negotiation of stairs, ambulation, getting in and out of the car, and 102 had decreased activity tolerance due to poor exercise endurance. The patient was diagnosed with an 103 acute L tibial fracture, with no differential diagnoses necessary. Additional information needed from the 104 patient was information on the patients co-morbidities including AHPMN and CHF. The plan for 105 examination was to address basic functional movements (including balance, posture, and gait pattern), 106 which express the patient's movement efficiency, movement patterns, and functional strength through a 107 task-related approach. Flexibility/range of motion (ROM) and LE strength was assessed additionally to 108 better understand how any ROM and strength restrictions may impact the patient's functional mobility. 109 The patient was a good candidate for a case report due to the abundant impairments and unique co-110 morbidities, including AHPMN and CHF, in conjunction with an acute L tibial fracture.

111

112 **Examination – Tests and Measures**

113 The examination started by evaluating patient's functional movements, basic transfers (such as moving 114 from sitting to standing), and normal stance with a rollator to assess balance. A general observation of 115 the patient's standing posture and gait pattern was observed next. Subsequently, active LE knee flexion, 116 knee extension, ankle dorsiflexion, and ankle plantarflexion were assessed for active range of motion 117 (AROM). PROM was assessed for the patient's soleus and gastrocnemius musculature. Active ROM of 118 the knee was assessed with goniometry, as described by Measurement of Joint Motion: A Guide to Goniometry.⁸ Strength was tested through manual muscle testing (MMT), with techniques as described 119 120 by Muscles: testing and function with posture and pain, which was performed on bilateral LE's, and 121 strength of dorsiflexors and plantarflexors to assess myotomes L4 and S1, respectively.⁹ Information 122 regarding tests and measures can be found in Table 2.

123 The patient's past medical history was significant for both AHPMN and CHF, with the latter of 124 which controlled through a low-sodium diet. The patient started wearing ankle foot orthoses (AFO) 125 since his recent injury that resulted in the acute L tibial fracture. Upon the systems review, the patient 126 demonstrated substantial deficits in dynamic and static standing balance and in his LE's, assessed 127 through gait and in standing with his rollator, and severe range of motion restrictions in his 128 gastrocnemius and soleus musculature; Strength was assessed bilaterally through MMT; however, there 129 is great variability in the assessment of strength of patients with lower limb related dystrophic muscle 130 impairments.¹⁰ The patient used a rollator for ambulation, with gait observed as forward-flexed with 131 bilateral genu recurvatum. Lower Extremity Functional Scale (LEFS) revealed a self-rated 31.25% 132 functional level upon the day of his initial evaluation, suggesting self-perceived impaired functional 133 mobility (with a 100% self-rated functional level meaning no self-perceived functional 134 difficulty/deficits). The patient's activity limitations included difficulty getting in and out of bed, indoor 135 and outdoor ambulation, negotiation of stairs, decreased strength, and decreased activity tolerance. The 136 patient denied any restrictions in participation of various activities.

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138 Clinical Impression #2

Based on the examination data, the initial impression regarding the patient's acute L tibial fracture and resulting ORIF surgery could be confirmed. The medical diagnosis provided in the referral was for "pain in joint, lower leg," with an ICD-9 code of 719.46 (ICD-10 code M25.569, "pain in unspecified knee"). This was for the region of the left (L) knee.

Both of AHPMN and CHF diagnoses caused a modified plan of action and subsequent treatment due to
their effects on aerobic capacity, activity tolerance, and gross muscular strengthening. The plan of action
included proceeding with planned interventions, however with modifications made, which shifted from
basic strengthening related interventions to also include dynamic balance, gait training, and using
compensatory strategies to improve independence with mobility in the community and at home.
This patient continues to be a good candidate for a case report because of their unique presentation with
rare comorbidities.

150	The patient had a fair prognosis for improvement with PT. The diagnoses of AHPMN and CHF
151	negatively affected the patient's prognosis, extending his projected duration of PT. Based on the
152	patient's low-level functional mobility and significant medical history of AHPMN and CHF, the
153	prognosis was more impacted negatively. Some research states that patients presenting with dystrophic
154	muscles (as this patient presented with) may be able to tolerate higher intensity exercise. ¹¹ However, the
155	research for patients with AHPMN remains very much limited, especially in the areas of strength
156	improvements. The patient was highly motivated and was able to physically manage his AHPMN and
157	CHF diagnoses for approximately 10 years prior to having his recent tibial fracture injury, thus
158	improving his prognosis.
159	The plan for PT was to retain the patient to initiate a rehabilitation program. There is little to no
160	research on rehabilitation concerning AHPMN in conjunction with CHF, especially those suffering a
161	tibial fracture repaired by ORIF. Procedural interventions included therapeutic exercise, functional
162	training in self-care and home management, and manual therapy techniques such as manual stretching
163	and PROM. This involved strengthening of the LE's and trunk, especially the hip abductors, knee
164	extensors, and trunk extensors, increasing activity tolerance, gait training, and increasing range of
165	motion, all of which related to meeting his goals of increased independence during household and daily
166	mobility. A follow-up evaluation was planned four weeks after his initial evaluation, which involved a
167	reassessment of flexibility, strength, gait, posture, and a LEFS questionnaire.
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175 Interventions

176 Coordination and communication included contacting the referring physician to discuss and clarify the 177 patient's significant medical history, as this affected patient care. Other aspects included data collection 178 and analysis through outcome measures, and documentation to state the outcomes of interventions. The 179 documentation aspect of the POC included electronic medical record documentation through TherapySource^{®†}, with re-evaluations completed every 30 days or 10 visits. Patient/client related 180 181 instruction included education on the patient's current condition, including anatomy and physiology of 182 bone fractures, typical fracture healing time, and the plan to address the patient's functional mobility 183 deficits while at PT.

184 Upon the initial evaluation, interventions began at an extremely low level, due to the patient's 185 limited exercise tolerance, limited strength, and limited aerobic capacity. These interventions involved 186 both isometric and isotonic strengthening exercises, and supine ROM exercises. Isometric exercises 187 included quadriceps sets and hip adductor isometrics while isotonic exercises included Red Thera-188 Band^{m*} (medium resistance) resisted ankle plantarflexion, standing knee extension and marching 189 exercises, and supine hip adduction/abduction. Supine range of motion exercises included supine knee 190 flexion. The chronology of interventions per visit remained the same in that supine ROM exercises were 191 performed first, followed by supine isometric strengthening exercises. The patient then transitioned from 192 isotonic exercises in the supine position to that of a standing position. This chronology was chosen in 193 order to prepare the patient for more taxing interventions. As the patient was able to progress from 194 isometric and supine ROM exercises to increased standing, closed chain activities, the chronology 195 remained the same; beginning with more basic interventions such as closed chain strengthening and 196 progressing to dynamic balance and gait training activities.

[†] Source Medical. 100 Grandview Place, Suite 400, Birmingham, AL 35243.

^{*} The Hygenic Corporation, 1245 Home Avenue, Akron, OH 44310, with the medium resistance band model number being 7168-02.

197 A large aspect of the interventions performed by the patient involved dynamic balance activities, 198 specifically dynamic balance. For patients with the diagnosis of hereditary sensory motor neuropathy, 199 research shows that balance exercises can be statistically beneficial. Research by Matjacić and Zupan 200 shows statistical improvement in Berg Balance scores following dynamic balance training during both 201 standing and stepping.¹² The interventions from this research were completed within a 12-day 202 timeframe, indicating that significant improvements in balance can be made in a 12-week period of PT, 203 similar to the duration for this patient's plan of care (POC). In the same population of patients with 204 hereditary motor and sensory neuropathy, strength training three times per week for 24 weeks can result 205 in moderate strength increases including increased knee torques, and overall leg-related functional 206 performance.¹³ This study spanned a 24-week period with a strength training frequency of three times 207 per week. The strength was measured/evaluated by isokinetic knee torque, and functional performance 208 through both timed motor performance and questionnaires on functional performance. No timed motor performance improvements were noted with the hereditary motor and sensory neuropathy group.¹³ 209 210 However, based on the results of an increased knee torque and questionnaire improvements, strength 211 training remains a viable intervention option to improve overall function.

212 The reason for the progression from basic isometric/isotonic strengthening and ROM to closed chain 213 activities including strengthening, balance, and also gait training was to improve the patient's functional 214 mobility through task training and simulation. The use of closed chain activities added a dynamic 215 challenge to the patient's overall strengthening program. Based on the patient's significant medical 216 history, a compensatory strategy was implemented to help the patient better progress toward his goals of 217 more independence in functional mobility. This included standing marching, hip abduction, hip 218 extension, and knee flexion, squats using parallel bars for assistance, gait training, and stair simulation 219 using parallel bars via forward and lateral step-ups using a six-inch and four-inch box, respectively. 220 More information regarding interventions can be found in Table 4 and Appendix 1.

221 Modifications to the POC involved utilizing increased rest breaks due to decreased aerobic 222 capacity and activity tolerance, and including the use of parallel bars for exercises that required balance, 223 since the patient required a rollator walker for ambulation and general mobility. The initial exercise 224 intensity level was reduced to a lower level to meet the patient's ability at the time, since the patient 225 demonstrated significant strength deficits. Based on the patient's reported difficulty with functional 226 mobility, the anticipated goals shifted from their initial focus on a return to overall independent status to 227 new goals including maximizing increased independence with household and daily mobility and 228 facilitating the return to a pre-morbid mobility level. Information regarding goals can be found in Table 229 <u>3</u>.

The patient's PT plan of care was approximately 12 weeks long, with two sessions per week, for a total number of 24 treatment sessions. Each PT session lasted approximately 45-60 minutes long. The patient was compliant with PT throughout his stay. The 24 treatment sessions represents the amount of time the author was present for the patient's PT, however, the patient continued PT upon the departure of the author.

235

236 **Outcomes**

The patient was assessed using the LEFS, gait pattern assessment, strength assessment through MMT of knee extension, hip flexion, and hip abduction. Upon re-evaluation performed at visit number 27, the patient demonstrated improvements in lower extremity strength and gait pattern categories. The LEFS remained at the same level of self-perceived functioning. Specific information regarding outcomes can be found in <u>Table 5</u>. Specific information regarding the patient's gait pattern can be found in <u>Figure 1</u>.

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246 **Discussion**

AHPMN presents with the primary symptoms of proximal weakness and muscle wasting of the limbs, more commonly in the lower extremities, in addition to gait unsteadiness and difficulty standing.^{1, 2} The patient, with an AHPMN diagnosis, presented with decreased lower extremity strength, decreased lower extremity self-perceived function, difficulty with transfers, and a compensatory gait pattern. Considering fall risk factors include, but are not limited to, older adults (age 65 or greater) and those with a diagnosis of CHF, this case was particularly noteworthy because the patient had both of these risk factors with the added degenerative neuromuscular diagnosis and had, in fact, sustained a fall.^{4, 5}

Although the patient's self-rating of perceived function through the LEFS remained the same, the patient demonstrated improvements in functional mobility, thus making this case report demonstrate its intended purpose. This was relevant due to the prevention of falls, which this patient was not only at risk for due to his co-morbidities, but personally experienced prior, resulting in physical therapy.

The potential contributing factors to improved outcomes were patient motivation, improved gait pattern, improved dynamic balance, and improved strength. The patient's high level of motivation resulted in quality therapy sessions thus maximizing the potential outcomes of the therapy performed. Strength and dynamic balance improvements may have helped carry over to functional mobility by supplementing activities where they are required, such as gait, which also improved throughout therapy.

Suggestions for future work related to this project could involve the utilization of functional testing in instances of acute injury in the presence of chronic conditions and proactive referral for training in fall reduction strategies for individuals identified to be at risk.

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Tables and Figures

322 Table 1. Systems Review

Cardiovascular/Pulmonary	Impaired: Decreased activity tolerance and endurance were present with a
	diagnosis of CHF. Vital signs were within normal limits.
Musculoskeletal	Impaired: Gross symmetry presented as normal along the frontal plane,
	and with increased thoracic kyphosis along the sagittal plane. Generalized
	gross strength presented as significantly decreased with right (R) LE more
	impaired than the L LE.
Neuromuscular	Impaired: Gait/locomotion presents as genu recurvatum with foot slap,
	impaired on the R side greater than the L side. Forward trunk lean was
	present throughout ambulation with use of a rollator and bilateral AFOs.
Integumentary	Not impaired.
Communication	Not impaired.
Affect, Cognition,	Not impaired. The patient had good affect, with no observable barriers to
Language, Learning Style	learning. The patient preferred demonstration for optimal learning style.

- 324 Legend 1. Acronyms defined as: CHF (congestive heart failure), R (right), L (left), LE (lower
- 325 extremity), AFO (ankle foot orthotic).

333 Table 2. Tests & Measures

Tests & Measures	Initial Evaluation Results	Left	Right		
	Knee Extension	2/5	1+/5		
Lower Extremity	Knee Flexion	4+/5	4+/5		
Manual Muscle Testing	Ankle Dorsiflexion	4/5	4/5		
	Ankle Plantarflexion	5-/5	5-/5		
Goniometry	Knee extension	2°	126°		
Lower Extremity Functional Scale	Total percentage score: 31.25% of self-perceived function.				

Legend 2. There is no current available reliability or validity for lower extremity manual muscle testing.⁹ Lower Extremity Functional Scale test-retest reliability and construct validity was found to be 0.86 and 0.80, respectively, with a minimal detectable change value of 9 points.¹⁴ Goniometric interrater and intra-rater reliability was found to be 0.57-0.79 and 0.91-0.96 for PROM of knee extension, respectively.¹⁵ The intraclass correlation coefficient (ICC) validity for use of the universal goniometry for knee flexion and extension was found to be 0.99.¹⁶

Short term goals:

The patient will be able to navigate 3 steps into house with step-through pattern, using bilateral railings as needed.

The patient will demonstrate moderate restriction in his gastrocnemius and soleus musculature so that he

is able to position his ankles neutrally allowing for more upright posture throughout gait.

The patient will demonstrate gross lower extremity strength through MMT graded at 3/5 so that he is able

to lift his legs to get in and out of bed independently and without difficulty.

Long-term goals:

The patient will be able to navigate a full 14-step flight of stairs into the basement without difficulty

reported.

The patient will demonstrate mild restriction in his gastrocnemius and soleus musculature so that he prevents genu recurvatum during ambulation making gait more efficient.

The patient will demonstrate gross lower extremity strength through MMT graded at 4/5 so that he is able to weight shift appropriately making ambulation less difficult.

- 352 Legend 3. The definitions mild and moderate were defined in the electronic medical records system at
- 353 patient's clinic, with definitions including none, slight, mild, moderate, and severe. The MMT acronym
- 354 is defined as manual muscle testing.

355 Table 4. Interventions.

Interventions	Treatment Sessions								
	1-4	5-8	9-12	13-16	17-20	21-24	25-28	29-32	
Heel slides in supine position	Х	X	Х						
Quadriceps squeezes in supine position	Х								
Hip abduction/adduction in supine position	Х	x	Х	Х					
Bridging in supine position		X	Х	Х					
Seated adductor squeezes with pillow between knees		x	Х	Х	X	X	X		
Standing hip abduction, extension, flexion, and knee extension, flexion	X	x	Х	Х	Х	X	Х	X	
Standing from a seated position (sit- to-stands)			Х	X	X	X	X	x	
Standing balance in parallel bars				Х	X	X	X	X	
Stair simulation							X	Х	
Flat and inclined floor gait training						X	X	X	
Forward/lateral stepping strategies							X	X	

Table 5. Patient Outcome Measures

Outcome Measure	Initial F	Evaluation	Re-evaluation		
A. Lower Extremity Functional Scale	31	.25%	31.25%		
B. Gait Pattern	Severely forward flexed trunk, with moderate bilateral genu recurvatum during weight bearing.		Moderately forward flexed trunk, with moderate-mild bilateral genu recurvatum during weight bearing.		
Manual Muscle Testing	Left	Right	Left	Right	
C. Knee extension	2/5	1+/5	3-/5	3-/5	
D. Hip flexion	2+/5	3-/5	3/5	3+/5	
E. Hip abduction	4-/5	4-/5	4/5	4/5	

Legend 5. (A) The Lower Extremity Functional Scale (LEFS) demonstrates self-assessment of function of impaired LE. The LEFS is based on a percentage of 0-100%, with 0% being completely dependent and 100% being fully independent and functional with no difficulty with any form of functional mobility. (B) The gait pattern described was with the use of a rollator walker. Improvements were noted with increased upright torso and less dependence on upper extremity weight bearing through the assistive device, and decreased knee hyperextension (genu recurvatum). (C, D, and E) Knee extension, hip flexion, and hip abduction manual muscle testing (MMT) was assessed using the basic MMT scale of 0-5. The patient was unable to extend either knee through a gravity-dependent position (i.e. seated) upon the initial evaluation. The re-evaluation revealed the patient's increased ability to perform knee extension through full AROM, in addition to having strength to tolerate slight overpressure. Note: The re-evaluation was performed at visit number 27 when the author was no longer present.

Figure 1. Phases of Gait













Legend 6. The following photographs demonstrate the patient's typical gait pattern. These pictures show several specific phases of gait, specifically to the patient's left lower extremity. The phases of gait described and identified in the photographs are A) initial contact B) loading response C) midstance D) terminal stance E) pre-swing F) initial swing. This gait pattern shows the patient with a forward flexed trunk, and excessive plantar flexion and knee extension (genu recurvatum) during the loading response and midstance phases of gait.

Appendix 1. Regarding treatment sessions: Heel slides for active assistive range of motion included the use of a towel to improve knee flexion, and quadriceps squeezes with the use of a rolled towel for proper tactile cueing. Supine hip abduction/adduction was performed with a board and towel. Standing hip and knee exercises (hip abduction, extension, flexion, and knee extension, flexion) were all performed in parallel bars, with standing from a seated position performed with the use of the patient's rollator walker to follow a compensatory mobility strategy. Foot positioning in the parallel bars included both normal and semi-tandem stances. Stair simulation included the use of six-inch steps with bilateral railings and involved forward stair negotiation. Gait training included weight shifting for dynamic balance and an increase in floor inclination to both increase workload and functionally challenge the patient. Contact guard assistance was given during gait with verbal cues for an upright torso. The patient began ambulation with the use of a single point cane by visit 27. Stepping strategies were performed in parallel bars, including stepping over a six-inch box forwardly, and a four-inch box laterally. This also included sidestepping up an inclined surface with the use of a railing and contact guard assistance. All exercises performed with a 10-20 repetition range, for two to three sets with rest breaks given between sets and exercises as needed. This excludes standing balance in parallel bars, stair simulation, flat and inclined floor gait training, and forward/lateral stepping strategies. Standing balance in parallel bars consisted of two to three 30-60 second sets with each of the foot positions stated previously. Stair simulation involved three sets of upward and downward negotiation of three 6" steps with bilateral railings. Both forward and lateral stepping strategies were performed for two to three sets of five repetitions.