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Vestibular Rehabilitation for a Geriatric Patient with Benign Paroxysmal Positional Vertigo Treatment Failure: A Case Report

Clare Roeder

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The patient signed an informed consent allowing for the use of medical information and photographs for this report and received information on the institution’s policies regarding the Health Insurance Portability and Accountability Act.

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26 **ABSTRACT**

27 **Background & Purpose:** Benign Paroxysmal Positional Vertigo (BPPV), the most common
28 vestibular disorder, is treated via a canalith repositioning maneuver (CRM), often providing
29 rapid and lasting resolution of symptoms. However, 31% to 61% of patients experience residual
30 dizziness (RD) post-treatment. For these cases, known as BPPV treatment failures, vestibular
31 rehabilitation (VR) is recommended. Limited evidence exists for the specifics of treatment. This
32 report documents VR of an older patient following BPPV treatment failure.

33 **Case Description:** The patient was a 77 year-old male referred to outpatient physical therapy
34 with a diagnosis of peripheral vertigo. He reported unsteadiness and dizziness during gait and sit
35 to stand transfers. A positive Dix-Hallpike test indicated posterior canal BPPV. Despite a
36 successful CRM, his symptoms persisted. A VR program consisting of static balance, dynamic
37 gait, and gaze stabilization was implemented to decrease RD, improve functional mobility, and
38 reduce fall risk.

39 **Outcomes:** The patient demonstrated improvements in all outcome measures: the Dizziness
40 Handicap Index score (58/100 to 30/100); the Mini Balance Evaluation Systems Test (20/26 to
41 24/26); condition 4 of the Modified Clinical Test of Sensory Integration on Balance (6.3s to 30s);
42 vestibular ocular reflex (VOR) testing (3/10 to 0/10 dizziness); and strength testing of the lower
43 extremities (increased ½ to 1 manual muscle testing grade throughout).

44 **Discussion:** A VR program implementing gaze stabilization, static balance and dynamic gait
45 training appeared to be successful in treating this patient with BPPV treatment failure. This case
46 report suggests that improvements in functional mobility, balance, and VOR function may be
47 possible in patients with RD. Future research should investigate effective interventions for older
48 patients with BPPV treatment failure.

49 **Word count:** 268

50

51 **BACKGROUND and PURPOSE**

52 Good balance requires the integration of sensory information from multiple systems,
53 including one that resides within the inner ear: the vestibular system. The vestibular portion of
54 the inner ear, the labyrinth, contains two types of sensory structures: semicircular canals and
55 otolith organs. The three canals (posterior, anterior, and horizontal) respond to angular velocity
56 of the head while the otolith organs respond to gravity and linear acceleration of the head.

57 Within the otolith organs are crystals of calcium carbonate, or otoconia, which can
58 become dislodged and enter the canals causing a specific type of vestibular dysfunction known
59 as Benign Paroxysmal Positional Vertigo (BPPV).¹ BPPV is the most common vestibular
60 disorder.² Of the 5.6 million patients in the United States with a primary complaint of dizziness,
61 up to 42% ultimately receive a diagnosis of BPPV. Idiopathic BPPV is more common, although
62 approximately 30% of cases are secondary to conditions such as Meniere's disease, vestibular
63 neuritis, head trauma, migraine, surgery, or prolonged bed rest.³ BPPV becomes more common
64 in older age, with a prevalence of 3.4% in individuals over the age of 60. It has devastating
65 consequences in the elderly population including increased risk for falls, depression, and
66 impaired activities of daily living.⁴

67 Patients with BPPV experience brief, episodic vertigo during rapid head movements and
68 specific head positions.¹ Symptoms of BPPV typically include dizziness, nausea, and
69 nystagmus. The Dix-Hallpike test (DHT) is the gold standard for diagnosing the condition.^{4,6,13}
70 Treatment for BPPV involves a canalith repositioning maneuver (CRM), which often provides
71 rapid and long-lasting resolution of symptoms.⁵ The Epley maneuver (see Appendix 1) is the
72 CRM used for posterior canal BPPV, which accounts for 85-95% of cases.⁴ It is 83% to 93%
73 effective upon first attempt.⁶ Horizontal (lateral) canal BPPV accounts for 5-15% of cases, is

74 diagnosed via the lateral roll test, and is treated via the *barbeque roll* CRM.¹ Other rare forms of
75 BPPV include anterior canal, multicanal, and bilateral multicanal.⁴

76 Despite a successful CRM, 31% to 61% of patients with BPPV experience persistent
77 imbalance without positional vertigo, known as residual dizziness (RD).⁵ These BPPV cases are
78 classified as treatment failures.⁴ RD typically lasts days to weeks and is more common in the
79 elderly, although the main causal factor is duration of vertigo.⁵ The cause of RD remains
80 unclear, although it may be related to incomplete central adaptation following a CRM.⁵ BPPV
81 treatment failure may also be due to a coexisting vestibular condition (eg. vestibular neuritis,
82 Meniere's disease) or a central lesion.⁴

83 Vestibular rehabilitation (VR) is recommended for patients with RD to target specific
84 balance deficits and reduce the risk of falls.⁴ Although VR generally includes exercises for
85 adaptation, habituation, substitution, balance and gait training, limited evidence exists regarding
86 the proper dosage and specifics of treatment.⁷ A greater understanding is needed of how VR is
87 used to address impairments of patients with vestibular dysfunction. The purpose of this case
88 study was to document the outcomes of VR in an older patient following BPPV treatment
89 failure.

90

91 **CASE DESCRIPTION**

92 **Patient History & Systems Review**

93

94 The patient gave written consent for the use of his medical information in this case report.

95 The patient was a 77-year old male who was a retired teacher and lived at home with his wife.

96 His extensive past medical history included hypertension, Lyme disease (treated with subsequent

97 negative enzyme-linked immunosorbent assays), bilateral hallux valgus, depression and anxiety.

98 A 28-year history of type 2 diabetes mellitus included complications such as peripheral

99 neuropathy, right second toe amputation, retinopathy, right eye vitectomy, and glaucoma
100 (bilateral cataracts surgery performed one week prior to initial evaluation [IE]). Several of these
101 conditions were managed with medication (see Appendix 2). Results of the systems review are
102 included in Appendix 3.

103 The patient was referred to outpatient VR by his primary care physician (PCP) with a
104 medical diagnosis of *peripheral vertigo*. His primary complaints were feelings of unsteadiness
105 and dizziness, especially during gait and sit to stand transfers. An episode of sinus congestion
106 preceded a gradual onset of these symptoms over the past several months. Previously
107 independent with ambulation, he reported occasional reliance on a walking stick and sometimes
108 used walls or furniture for support. The patient's goals for physical therapy included wanting to
109 "feel like I'm not going to fall." He reported one fall several years ago, but no recent falls. Two
110 years prior he sought VR for dizziness and was ultimately referred to his PCP to address issues
111 with blood sugar and blood pressure. At the time of this episode of care, the patient felt those
112 issues were resolved.

113

114 **CLINICAL IMPRESSION 1**

115 The patient was referred to VR due to dizziness and imbalance during ambulation and
116 quick positional changes. Information gathered from the history and systems review was
117 consistent with the referring diagnosis of peripheral vertigo. Differential diagnoses included
118 BPPV, unilateral vestibular hypofunction, bilateral vestibular hypofunction, and central vertigo.
119 Blood pressure and blood sugar appeared stable at this time and not responsible for his current
120 complaint. Nonetheless, blood pressure readings would be taken to rule out orthostatic
121 hypotension. In addition, the plan for a thorough vestibular examination included cervical range
122 of motion (ROM), the vertebral artery test, the DHT, an ocular motor exam, and a balance

123 assessment. The patient was a good candidate for this case report because of his complex
124 medical history and motivation to participate in therapy.

125

126 **EXAMINATION**

127 **Tests and Measures**

128 The results of all tests and measures may be found in Tables 1 and 2. The DHT (see
129 Appendix 4) was performed bilaterally to assess for posterior canal BPPV.⁸ The right side was
130 positive for nystagmus and vertigo while the left side was positive for dizziness, but no
131 nystagmus. The lateral canals were not evaluated during the initial examination due to the low
132 likelihood of multicanal involvement.

133 The vestibular ocular reflex (VOR) was assessed via the head-thrust test⁹ (see Appendix
134 5) and the head-shaking nystagmus test¹⁰ (see Appendix 6), both of which were negative. The
135 VOR x1 exercise (see Figure 3a) was performed to further evaluate VOR function. The patient
136 was asked to rate his dizziness on a scale of zero (*no dizziness*) to 10 (*maximal dizziness*). At
137 baseline in seated, the patient rated his dizziness at zero. For the VOR x1 near exercise, the
138 patient rated his dizziness at two to three out of 10 with horizontal movements and at one to two
139 out of 10 with vertical movements. For the VOR x1 far exercise, the patient reported diplopia
140 with horizontal movements and diplopia plus one to two out of 10 dizziness with vertical
141 movements.

142 Visual tracking was examined using smooth pursuit eye movements (see Appendix 7)
143 and cancellation of the VOR (see Appendix 8).¹ Smooth pursuit eye movements were impaired
144 with a jump in mid-range moving from right to left. VOR cancellation was unimpaired, although
145 there was a slight left delay.

146 The vestibular exam also included saccadic eye movements (see Appendix 9), which
147 were unimpaired.¹ Both spontaneous and gaze-holding nystagmus were absent, although mild
148 nystagmus was noted with left end range gaze. Cervicogenic dizziness is a controversial
149 diagnosis because it lacks diagnostic testing; however, it is typically associated with neck pain.¹¹
150 Cervical ROM was within normal limits and pain-free.

151 Cardiopulmonary function was assessed with the vertebral artery test and blood pressure
152 measurements taken in both sitting and standing. No symptoms were provoked with either test
153 and blood pressure readings were within normal limits.

154 **Additional Tests and Measures for RD**

155 Additional tests and measures were performed once it was apparent that the patient's
156 symptoms were persistent despite a successful Epley maneuver. These included: the Mini
157 Balance Evaluation Systems Test¹² (Mini-BESTest, Appendix 10) and the Modified Clinical Test
158 of Sensory Integration on Balance¹³ (mCTSIB, Appendix 11) to assess balance; the Dizziness
159 Handicap Index¹⁴ (DHI, Appendix 12), a self-reported questionnaire; and strength testing of the
160 lower extremities via manual muscle testing (MMT). These outcome measures were performed
161 four weeks after IE and again upon discharge to determine improvements in functional ability.

162 A visual inspection of the patient's feet during week seven revealed deformities,
163 including bilateral hallux valgus and a right second toe amputation. Sharp-dull discrimination
164 testing revealed diminished sensation in both feet.

165

166 **CLINICAL IMPRESSION 2**

167 The examination data confirmed the initial impression of peripheral vertigo. A central
168 lesion was deemed unlikely based on the direction of nystagmus during the DHT and the absence
169 of nystagmus during other tests.⁴ Other potential causes of the patient's symptoms, including

170 postural hypotension and cervicogenic dizziness, were also ruled out from the examination data.
171 A diagnosis of peripheral vertigo was supported by the results of the mCTSIB, which revealed
172 impaired postural control, particularly in condition four where visual and somatosensory cues are
173 removed and balance is maintained solely with vestibular function. Further balance deficits were
174 revealed via the Mini-BESTest, especially with anticipatory postural control and dynamic gait.
175 Despite a negative head thrust test, head shaking nystagmus test, and VOR cancellation test,
176 dizziness was provoked with the VOR x1 exercise, indicating some degree of VOR dysfunction.
177 Functional impairment was demonstrated via the DHI, with a score of 58/100 indicating
178 moderate perceived level of handicap due to dizziness.¹⁴

179 An up-beating torsional nystagmus during the DHT indicated a diagnosis of right
180 posterior canal BPPV.⁴ This was an unexpected finding since the patient's symptoms were
181 atypical for BPPV. This raised the possibility that he suffered from more than one vestibular
182 disorder. Research has shown that some patients develop BPPV secondary to a disorder of the
183 inner ear.¹⁵ As a result, the patient was given a broad physical therapy diagnosis of *other*
184 *peripheral vertigo* (ICD-10 code H81.3). He received a medical diagnosis of *vertigo*. The
185 patient continued to be appropriate for the case due to his complex medical history and atypical
186 presentation of BPPV.

187 The patient was expected to make gains from VR to improve balance, reduce dizziness,
188 and decrease fall risk. Despite positive prognostic indicators including high motivation, family
189 support, and high prior level of function, his prognosis was deemed fair. Although most patients
190 with idiopathic BPPV experience a full and rapid recovery, secondary BPPV has a less favorable
191 prognosis. For example, in a study of 22 patients with BPPV secondary to vestibular neuritis,
192 the condition responded less effectively to treatment and was more likely to recur.¹⁶
193 Furthermore, progress towards the patient's goals was expected to be slow due to his advanced

194 age and multiple comorbidities. His deficits remained within the scope of VR and thus, referrals
195 were not indicated.

196 **Clinical Impression After Additional Tests And Measures**

197 When the patient's symptoms persisted despite a negative follow-up DHT, additional
198 tests and measures were performed and his plan of care (POC) was updated. Despite an unclear
199 etiology, the patient was still expected to benefit from a comprehensive vestibular program that
200 targeted his deficits. He was seen for 30-45 minutes, twice weekly for eight weeks. He then
201 took a five-week vacation and returned for a final visit before discharge (see Figure 1 for
202 timeline). Outcome measures were to be reassessed at eight weeks (prior to his vacation) and at
203 discharge. Short-term and long-term goals were established upon IE, with new goals created at
204 four weeks to incorporate additional outcome measures (see Table 3).

205

206 **INTERVENTIONS**

207 **Coordination, Communication, Documentation**

208 Paper documentation was completed during the patient's IE, daily treatments, and
209 discharge. In addition, progress notes were completed after the patient's eighth and fourteenth
210 visits. Changes to the POC were completed as needed and documented accordingly. The
211 patient's care was coordinated among three therapists via written and in-person communication.
212 IE and discharge documentation, as well as progress notes, were faxed to the patient's PCP.

213

214 **Patient-related Instructions**

215 Please see Table 4.

216

217 **Procedural Interventions**

218 Initially, procedural interventions were limited to patient education and the Epley
219 maneuver. When the patient's symptoms persisted, interventions to address specific
220 impairments were added including gaze stabilization, static balance, and dynamic gait exercises
221 (see Table 5 for a timeline of the interventions). A 2015 Cochrane review indicated that while
222 there is strong evidence supporting the use of VR, the evidence for dosage and specifics is still
223 limited.⁷ Overall, the patient demonstrated excellent compliance with his home exercise
224 program (HEP) and attendance with appointments.

225

226 *Epley Maneuver*

227 The Epley maneuver, the gold standard treatment for posterior canal BPPV, was
228 performed upon IE due to a positive DHT.⁴ It involves moving the patient's head through a
229 specific sequence of positions, which moves misplaced otoconia from the affected canal back
230 into the vestibule, thus eliminating the source of vertigo. At the patient's second visit, a negative
231 DHT confirmed the resolution of BPPV, and thus, a subsequent Epley Maneuver was deemed
232 unnecessary.

233

234 *Gaze stabilization*

235 The objective of each gaze stabilization exercise was to maintain fixation on a stable or
236 moving target while increasing symptoms by two points on a ten-point scale. Therefore,
237 duration of each gaze stabilization exercise was based on the patient's reported symptoms. The
238 exercise was also stopped if the therapist observed erratic head movements, excessive blinking,
239 or unfocused eyes. Symptoms returned to baseline before moving on to the next exercise. When
240 an exercise failed to provoke symptoms, it was either progressed or discontinued.

241 The most common VR exercise is VOR x1 (see Figure 3a).¹⁸ The VOR x2 exercise is
242 similar, except the image and the patient's head move in opposite directions. For both exercises,

243 the patient was instructed to move his head at a speed on the edge of blurred vision. This
244 blurring, or “retinal slip,” is read as an error signal by the brain, which attempts to minimize it by
245 increasing the gain of the vestibular responses. Thus, by challenging the brain to reduce the error
246 signal, vestibular adaptation is promoted.¹

247 Repeated attempts were made to progress the VOR exercises by increasing the patient’s
248 speed of head movements, however, he had difficulty following this cue. Speed was therefore
249 kept relatively constant and the exercise was progressed in other ways. Initially performed
250 seated, the VOR x1 exercise was progressed to standing on level surfaces, then standing on
251 unlevel surfaces (e.g. foam, grass), and finally during ambulation. Duration was increased from
252 15 seconds to 60 seconds.

253 Other gaze stabilization exercises included “nose to knee” and “ball circles” (see Figure
254 3b-c). Ball circles were eventually discontinued due to shoulder pain. A RBB1 Champion
255 Sports basketball (Champion Sports, Marlboro NJ) was used for ball circles and dynamic gait.

256

257 *Static balance*

258 Static balance exercises with altered visual and proprioceptive inputs increased the
259 patient’s reliance on his vestibular system. A randomized controlled study of 26 patients with
260 BPPV illustrated that for patients who receive treatment for BPPV (i.e. CRM), balance training
261 is important for improving balance and functional gait ability.¹⁹ One exercise required the
262 patient maintain standing balance on unlevel surfaces such as an Aeromat Elite Balance Block
263 (Aeromat Fitness Products, Fremont CA) and a tilt board. These interventions were selected
264 based on the patient’s performance on items of the MiniBESTest. Finally, random perturbations
265 were applied by the therapist to the patient’s upper body while he attempted to maintain standing
266 balance. The patient was cued to minimize hip movements in order to emphasize ankle

267 strategy. Some static balance exercises were progressed to eyes closed to remove visual input,
268 although many were sufficiently challenging with eyes open.

269

270 *Dynamic gait*

271 Because the patient's primary complaint was feeling imbalanced during gait, especially
272 with quick head movements, a focus of treatment was on dynamic gait. A gait belt and close to
273 contact guarding was provided during all gait exercises. One exercise consisted of horizontal
274 and vertical head turns during walking (see Figure 4a-b). To progress the exercise, verbal cues
275 of "up," "down," "left," "right" were given at random. During a similar task, the patient moved
276 a ball left and right during ambulation, following the ball with his eyes and head (see Figure
277 4c). Other dynamic gait exercises included "high steps," side steps, tandem gait, and "sneaky
278 steps" (see Figure 4d-f). Tandem gait was progressed by decreasing step width.

279 To reduce dual task interference noted during the MiniBESTest, the patient performed
280 simple cognitive tasks during ambulation (e.g. counting backwards by threes). For people with
281 vestibular impairments, less attentional resources are available during gait.²⁰ Other dynamic gait
282 exercises included walking with eyes closed as the patient had difficulty maintaining balance
283 with occluded vision during the mCTSIB. As the patient progressed, outdoor ambulation was
284 introduced. This involved ambulating over grass and pavement, up and down inclines,
285 negotiating obstacles, and turning. This provided an opportunity to assess the safety of adding
286 outdoor ambulation to the patient's HEP.

287

288 *Strengthening*

289 The most important musculature for postural stability includes tibialis anterior
290 (dorsiflexion), gastrocnemius (plantarflexion), hamstrings (knee flexion), and quadriceps (knee
291 extension); but in older adults, adductor and abductor strength becomes critical for maintaining

292 lateral postural stability.²¹ Strength deficits were identified via MMT, and thus, lower extremity
293 strengthening was added to the patient's HEP. This included toe and heel raises, hip abduction,
294 hip extension, hip flexion (i.e. marching), hamstring curls, and mini squats. The patient was
295 instructed to progress from one to three sets of ten repetitions and from bilateral hand support to
296 unilateral single finger support. The exercises were thus progressed to incorporate single leg
297 balance.

298

299 **OUTCOMES**

300 Upon discharge, the patient met all of his physical therapy goals (see Table 3). Outcome
301 measure results may be found in Table 2 and Figure 2. A DHT was performed at the patient's
302 second visit and repeated at week eight; both tests were negative bilaterally. A lateral roll test
303 performed at week eight was also negative. A decreased DHI score reflected functional gains
304 and improved subjective feelings of dizziness. Additionally, sit to stand transfers no longer
305 provoked symptoms. Improvements with VOR function enabled the patient to perform all VOR
306 exercises without symptoms, although he reported mild diplopia during VOR x1
307 far. Improvements with the mCTSIB and MiniBESTest reflected gains with static and dynamic
308 balance. Qualitative improvements observed during the MiniBESTest included increased
309 stability with head turns during ambulation and decreased dual task interference. In addition,
310 the patient demonstrated lower extremity strength gains, improving by a half to full MMT grade
311 throughout. Functionally, the patient reported at discharge no recent loss of balance episodes.
312 He demonstrated safe and proper form with his HEP and agreed to continue it after discharge.

313 The patient returned from his five-week vacation and was discharged at that time due to
314 the results of his outcome measures and reported lack of dizziness. During the final visit,

315 however, the patient reported recent unexplained weight loss, bruising, and fatigue. He made
316 plans to follow-up with his PCP regarding these concerns.

317

318 **DISCUSSION**

319 This case report accomplished the stated purpose of describing the VR of a geriatric
320 patient with RD after BPPV treatment. Based on evidence and clinical reasoning, the POC
321 addressed the patient's specific impairments. During eight weeks of outpatient VR, the patient
322 demonstrated gains in VOR function, static and dynamic balance, gait, and LE strength. These
323 improvements translated to functional gains as evidenced by a measurably improved DHI
324 score. These results are consistent with the evidence that a patient-centered VR program
325 incorporating gaze stabilization and balance training may improve dizziness and functional
326 mobility.¹ His recovery, although supported by his motivation and compliance, was somewhat
327 limited by his multiple comorbidities. Treatment time for BPPV is generally longer for patients
328 with significant comorbidities.¹⁸ Alcoholism, for example, of which the patient had a history,
329 may result in cerebellar lesions that mimic symptoms of peripheral vestibular loss including gait
330 instability and nystagmus.²² In addition, the patient's diabetic-related vision deficits may have
331 contributed to his persistent diplopia with the VOR exercises.

332 A clear etiology of the patient's RD ultimately remained elusive. Although the possibility
333 of a concurrent vestibular condition could not be ruled out, several studies suggest this would be
334 an unlikely cause of RD.¹ Rather, evidence suggests that older age may contribute to RD,
335 perhaps increasing the recovery time of the otoliths.¹ In fact, RD after BPPV is considered
336 common among the elderly.⁵ The patient's anxiety was also likely a factor; evidence shows a
337 significant correlation between RD and anxiety.⁵

338 Faced with an increasingly aged population, physical therapists are likely to encounter
339 more cases of RD. Future research is warranted to guide therapists in treating these complex
340 patients. Specific VR interventions and parameters should be evaluated to optimize outcomes in
341 this population. This type of research will also have the broader impact of addressing fall risk in
342 the elderly, of which, vestibular dysfunction is a leading cause.¹

343 This case presents implications for clinical practice, including the importance of promptly
344 identifying and treating patients with RD after BPPV treatment failure. Although BPPV is
345 commonly considered a straightforward condition to treat, this report demonstrates that this is
346 not always the case. As previously noted, 31 to 61% of patients with BPPV develop RD after a
347 successful CRM.⁵ Proper follow-up with these patients is essential to insure RD is not
348 complicating their recovery. For patients with BPPV treatment failure, it is important that
349 therapists screen for possible causes including concurrent vestibular conditions and central
350 lesions.^{4,16} Furthermore, this case report suggests that some patients deemed as BPPV treatment
351 failures may still benefit from a comprehensive and customized VR program.

352
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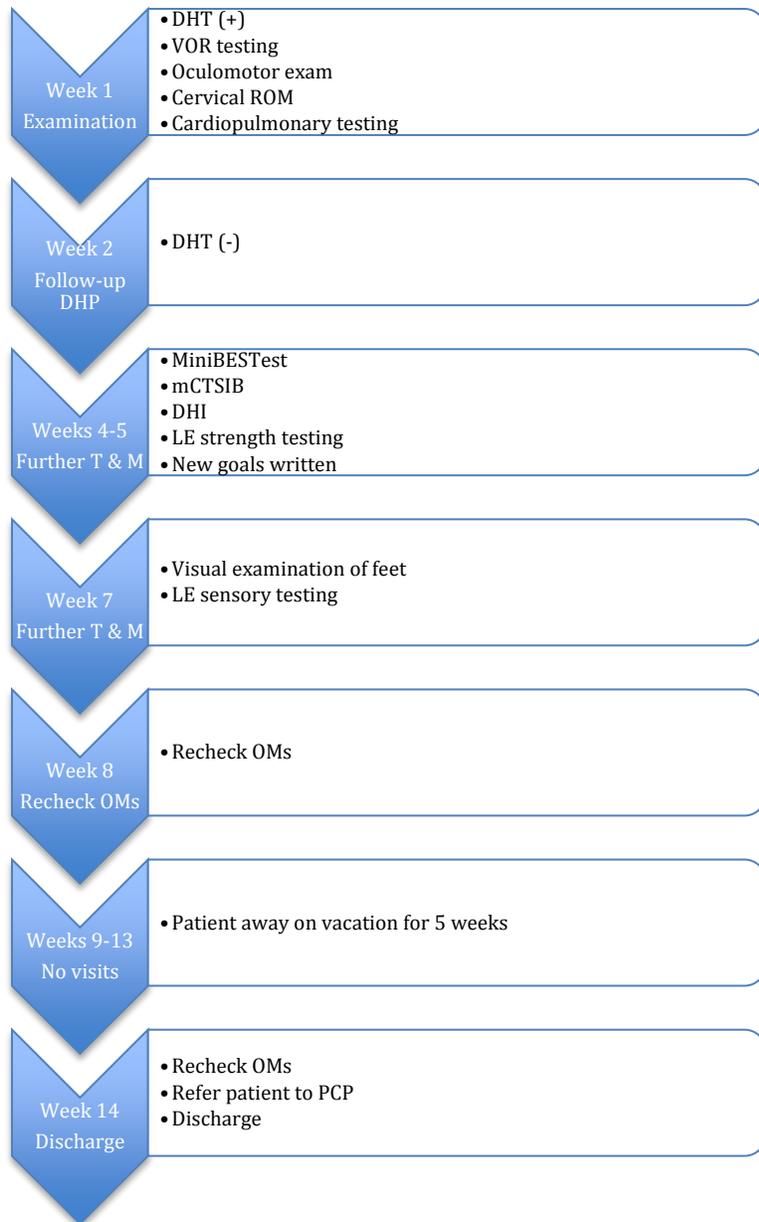
430 **TABLES and FIGURES**

431

Table 1. Results of Tests and Measures at Initial Evaluation		
Tests & Measures	Results	Psychometrics
Vertebral artery test	Negative	N/A
Blood pressure	Seated: 137/67 mmHg Standing: 135/70 mmHg	N/A
Spontaneous nystagmus	Negative	N/A
Gaze-holding nystagmus	Negative, mild nystagmus noted at end-range on left	N/A
Smooth pursuit	Jump in mid-range moving from right to left	N/A
Saccades	Negative	N/A
VOR cancellation	Negative, left delay	N/A
Head-shaking nystagmus	Negative	46% sensitivity 75% specificity ¹⁰
Head thrust test	Negative	39% sensitivity 95% specificity ⁹
Cervical AROM	WFL, pain-free	N/A
Sharp – dull discrimination	Impaired sensation B feet	N/A

432 VOR= vestibule-ocular reflex, AROM= active range of motion, WFL= within functional limits, B= bilateral

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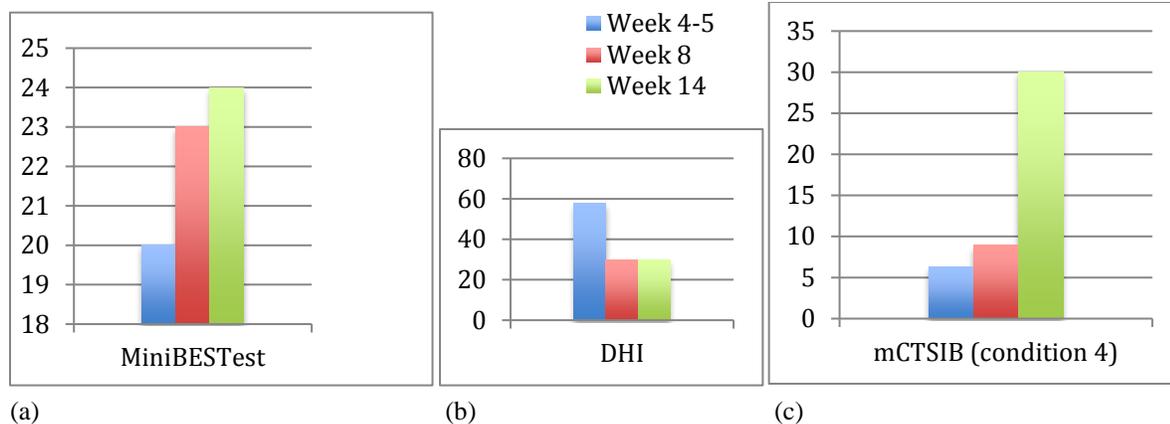
Figure 1. Timeline of Tests and Measures

DHT= Dix Hall-pike test, (+) = positive test result, VOR= vestibular ocular reflex, ROM= range of motion, (-)= negative test result, T & M= tests and measures, Mini-BESTest= The Mini Balance Evaluation Systems Test, mCTSIB= Modified Clinical Test of Sensory Integration on Balance, DHI= Dizziness Handicap Inventory, LE= lower extremity, OMs= outcome measures, PCP= primary care physician

Table 2. Comparison of Outcome Measure Results at Initial Evaluation, Week 8 and Discharge				
	Initial Evaluation	Week 8 / Visit 14	Discharge Week 14 / Visit 16	Psychometrics
VOR x1 near	Horizontal: increase in symptoms (2-3/10) Vertical: increase in symptoms (1-2/10)	Horizontal & vertical: x 30 sec with no symptoms	Horizontal: x 30 sec with no symptoms, no diplopia Vertical: x 30 sec with no symptoms	N/A
VOR x1 far	Horizontal: diplopia Vertical: diplopia, increase in symptoms (1-2/10)	Horizontal: diplopia after 20 sec Vertical: no symptoms	Horizontal: x 9 with diplopia; x 5 with diplopia; no symptoms during either trial Vertical: x 30 sec with mild diplopia that self-corrected during the trial	N/A
Dix- Hallpike Test	Right: positive for nystagmus and vertigo (3-4/10) Left: positive for dizziness, no nystagmus <i>Performed at 2nd visit</i> Right: negative Left: no nystagmus, 5 sec of dizziness, mild dizziness sitting up	Right: negative Left: negative	Not performed due to prior negative test results	82% sensitivity and 71% specificity for posterior canal BPPV ⁸
Tests performed 4-5 weeks after initial evaluation				

Mini-BESTest	Anticipatory: 3/6 Reactive: 6/6 Sensory orientation: 4/4 Dynamic gait: 7/10 Total score: 20/26*	Anticipatory: 4/6 Reactive: 6/6 Sensory orientation: 3/4 Dynamic gait: 10/10 Total score: 23/26	Anticipatory: 4/6 Reactive: 6/6 Sensory orientation: 4/4 Dynamic gait: 10/10 Total score: 24/26	Excellent test-retest reliability (ICC =0.94-0.99) and excellent validity when compared to the mean global rating of change score in rehabilitation (r = 0.72, CI 0.61-0.81). For balance disorders, MCID = 4 points (out of 28 total). ¹²
mCTSIB	Condition 1: 30 sec, minimal sway Condition 2: 30 sec, moderate sway Condition 3: 30 sec, moderate sway Condition 4: 6.3 sec, fall	Condition 1: 30 sec, minimal sway Condition 2: 30 sec, mild sway Condition 3: 30 sec, mild sway Condition 4: 8.9 sec, fall	Condition 1: 30 sec, minimal sway Condition 2: 30 sec, mild sway Condition 3: 30 sec, minimal sway Condition 4: 30 sec, mild sway	Condition 4 has a sensitivity of 95% and a specificity of 90% when compared to the Sensory Organization Test. ¹³
LE MMT	B hip flexion: 4/5 B hip IR, ER, abd, add: 4+/5 B hip extension: 4/5 B knee flexion & extension: 4/5 B ankle PF, DF, inv, ev: 4+/5	R hip flexion: 4/5 All other motions: 4+/5	B hip flexion: 4+/5 All other motions: 5/5	Good intratester reliability (r = 0.63-0.98), but intertester reliability is more varied. Agreement between testers within half a grade was 50-97%. ²⁴
DHI	Total score: 58/100	Total score: 30/100	Total score: 30/100	Excellent test-retest reliability (r = 0.97) and high internal consistency reliability (r = 0.89). ¹ For vestibular dysfunction, MCID = 18 points. ¹⁴
Lateral roll test	Not performed	Negative bilaterally	Not performed	Recommendation from multiple taskforces for use with BPPV: Reasonable to use, but limited study in target group. ²⁵

441 VOR= vestibular ocular reflex, sec= seconds, Mini-BESTest= The Mini Balance Evaluation Systems Test, *One test item was omitted due to lack of equipment; thus,
 442 total score is out of 26 rather than 28 point, MCID= minimal clinically important difference, mCTSIB= Modified Clinical Test of Sensory Integration on Balance,
 443 sec= seconds, LE= lower extremity, MMT= manual muscle testing, B= bilateral, IR= internal rotation, ER= external rotation, abd= abduction, add= adduction, PF=
 444 plantarflexion, DF= dorsiflexion, inv= inversion, ev= eversion, DHI= Dizziness Handicap Inventory.



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447 **Figure 2. Results of Outcome Measures Over Time**

448 a. MiniBESTest= Mini Balance Evaluations Systems Test. b. DHI=Dizziness Handicap Inventory, c. mCTSIB=
449 Modified Clinical Test of Sensory Integration and Balance.

450

Table 3. Physical Therapy Short and Long Term Goals		
	Goal	At discharge
Short term: In 3 visits	Patient will be able to perform horizontal and vertical VOR exercises with no greater than 2/10 increase in symptoms.	Met
	Patient will be able to perform sit to stand transfers with no greater than 2/10 increase in symptoms.	Met
Long term: In 5 visits	Patient will be able to perform sit to stand transfers with no increase in symptoms.	Met
	Patient will achieve a negative Dix-Hallpike test to the left and right.	Met
Additional goals: In 10 visits	Patient will be able to maintain standing balance through all mCTSIB conditions.	Met
	Patient will score 24/26 on the MiniBESTest indicating a decrease in symptoms.	Met
	Patient will score 30/100 on the DHI indicating a decrease in symptoms.	Met

451 VOR= vestibular ocular reflex, mCTSIB= Modified Clinical Test of Sensory Integration and Balance,
452 MiniBESTest= Mini Balance Evaluations Systems Test, DHI=Dizziness Handicap Inventory.
453 Additional goals were established at patient's 10th visit.

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Table 4. Patient-related Instructions	
Topic	Instructions
BPPV & vestibular rehabilitation	<ul style="list-style-type: none"> • Educated about the pathology, symptoms, and treatment of BPPV. • Following the Epley maneuver: advised to avoid excessive cervical flexion and extension, and rapid head turns for the remainder of the day. • Provided with educational handouts on BPPV and vestibular rehabilitation.¹⁷
Plan of care	<ul style="list-style-type: none"> • Communicated the frequency and duration of visits and the anticipated time course of recovery. • Explained the multisensory integration of the balance system, how the patient’s various deficits were contributing to his symptoms, and how they were being addressed via the prescribed interventions. • Provided performance feedback using objective data.
Home exercise program	<ul style="list-style-type: none"> • Educated on the importance of mildly provoking symptoms during each exercise (ie. 2/10 increase in dizziness). • Reviewed exercises frequently so they could be progressed when they no longer provoked symptoms. • Provided maintenance exercise program at discharge to facilitate self-management of symptoms.
Functional mobility	<ul style="list-style-type: none"> • Educated on safe outdoor ambulation: walking sticks, caution on uneven surfaces, stop before turning head to cross the street. • Advised on proper footwear to optimize proprioceptive input and balance. • Taught proper lifting techniques to minimize dizziness and back strain.

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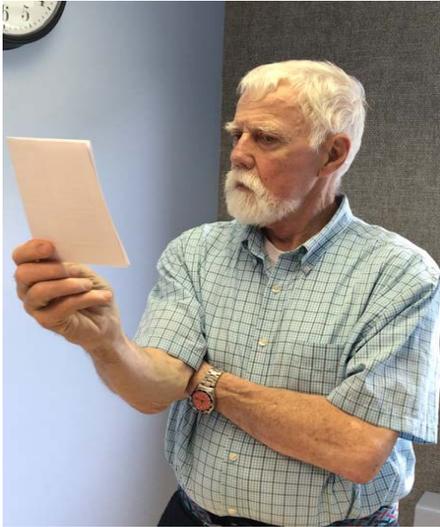
Table 5. Timeline of Physical Therapy Interventions

	Week 1	Week 2	Week 3	Week 4	Week 5	Week 6	Week 7	Week 8	Week 14
Gaze stabilization		-Seated V1 near H & V x 15-30 sec -Seated V1 far H & V x 15 sec -Nose to knee x 5-10 sec -V2 x 2 sets of 5 sec -Standing V1 far H & V x 15 sec	-Standing V1 H & V x 30 sec -Standing V1 far H & V x 30 sec -Nose to knee x 20 sec -V2 x 15 sec -Ball circles CW x 10 reps, CCW x 10 reps	-Standing V1 far V x 70 sec, H x 10 sec -Standing V1 near H & V x 50 sec	-Standing V1 far V x 60 sec, H x 30 sec -Standing V1 near H & V x 45 sec	-Standing V1 far H & V x 60 sec -Standing V1 near H & V x 60 sec -Standing V1 outdoors near & far H x 30 sec	-Standing V1 on foam far H x 2 set of 30 sec -V1 during gait H & V 20 ft x 2		
Static balance				-Standing with perturbations	-Standing on foam with EC x 10, 20, 20, 30 sec -Standing on tilt board with heels up and EO x 5, 30 sec -SLS with EO for 2-5 sec x 5 reps bilaterally	-Standing on foam with EC x 30, 30 sec -Standing on tiltboard with heels up and EO 2 x 30 sec -SLS with EO 5 x 2-5 sec bilaterally	-SLS with EO for 2-8 sec x 6 reps -Standing on foam with EO x 30 sec -Standing on foam with EC x 30, 30, 45 sec		
Dynamic gait		-Gait with head turns 2 x 40 ft	-Gait with random head turns 2 x 40 ft		-High steps 2 x 40 ft -Sneaky steps	-Gait outdoors x about 200 ft	-Gait with head turns 2 to 4 x 40 ft		

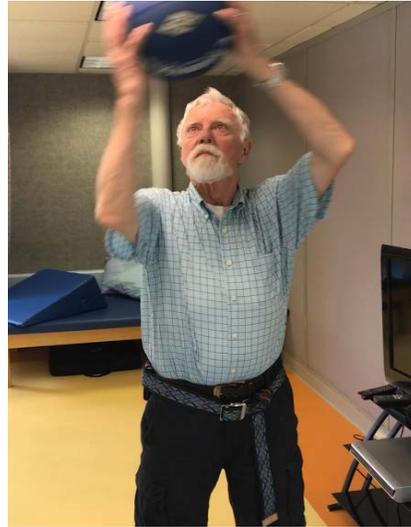
			-Gait with ball and head turns 4 x 40 ft -Gait with EC 4 x 40 ft		2 x 40 ft -Side steps 2 x 40 ft -Gait with random head turns 2 x 40 ft		-Tandem gait 2 x 40 ft -High steps 2 x 40 ft -DT gait 4 x 40 ft		
Other	-Epley maneuver to the right							- no treatment performed due to reassessment of outcome measures	no treatment performed due to reassessment of outcome measures
HEP		-V1 near & far, H & V, seated and standing -Nose to knee x 5 sec -V2	-Continued	-Discontinued V2 and nose to knee	-Added SLS x 30 sec	-Added LE strengthening	-Reviewed LE strengthening	-Continue V1 far and LE strengthening	

462 V1= VOR x1 exercise, near= 24 inches, H= oscillating horizontal head turns, V= oscillating vertical head turns, sec= seconds, far= 10 feet, V2= VOR x2 exercise,
 463 CW= clockwise, CCW= counterclockwise, EC= eyes closed, EO= eyes open, SLS= single leg stance, ft= feet, DT= dual task, HEP= home exercise program, LE=
 464 lower extremities

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(a)



(b)

481 **Figure 3. Gaze stabilization exercises**

482 a. VOR X1 exercise: The patient holds a card printed with an “X” stationary at arm’s length
483 distance (approximately 24 inches). He moves his head side to side by approximately 30 degrees
484 while keeping the “X” in focus. This exercise is performed with both vertical and horizontal
485 head movements at two different distances, 24 inches (i.e. *near*) and 10 feet (i.e. *far*).

486
487 b. Ball circles: The patient stands and holds a basketball at arm’s length distance, moving it
488 clockwise and then counterclockwise. Patient is instructed to move head and eyes with the ball.

489
490 c. Nose to knee [not pictured]: The patient is seated, looking straight ahead to start. He moves
491 his nose down towards one knee, returns to an upright position, and then brings his nose down to
492 his opposite knee. Each time he returns to the upright position, his gaze returns to looking
493 straight ahead.



(a)



(b)



(c)

494
495

496



(d)



(e)



(f)

497
498

499 **Figure 4. Dynamic gait interventions**

500 a & b. Gait with horizontal and vertical head turns: While walking, patient receives cues to turn
501 head “left” or “right,” (a) and “up” or “down” (b).

502 c. Gait with ball and head turns: Patient walks while moving basketball left and right
503 continuously. Patient is instructed to move head and eyes with the ball.

504 d. “High steps” gait: Patient walks with exaggerated hip flexion.

505 e. Tandem gait: Patient walks toe-to-heel as if on a tightrope.

506 f. “Sneaky steps” gait: Patient takes large, diagonal steps resembling lunges

507 APPENDICIES
508

Appendix 1. Stepwise sequence of the Epley maneuver⁴

1. The patient is seated with the head turned 45 degrees toward the affected side (i.e. toward the ear that was positive on the Dix-Hallpike test). (a)
2. The patient is rapidly moved to supine with the head held in 20 degrees of cervical extension. Hold for 20 seconds. (b)
3. The patient's head is turned 90 degrees toward the unaffected side. Hold for 20 seconds. (c)
4. The patient's head is turned another 90 degrees, placing the patient in a nearly facedown position. The patient's body may move partially out of the supine position, as needed. Hold for 20-30 seconds. (d)
5. The patient is returned to a seated position.



(a)



(b)



(c)



(d)

509

Appendix 2. List of Medications	
Medication	Indication
Aspirin	Cardioprotection
Atorvastatin	High cholesterol
Diltiazem	Hypertension
HumaLOG	Insulin medication for diabetes
Losartan	Hypertension
Timolol maleate	Eye drops for glaucoma
Fluticasone	Seasonal allergies

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Appendix 3. Results of Systems Review	
Cardiovascular/Pulmonary	Impaired- History of hypertension (normal vitals at initial evaluation)
Musculoskeletal	Impaired- Rotator cuff syndrome
Neuromuscular	Impaired- Diabetic neuropathy, impaired balance
Integumentary	Unimpaired
Communication	Unimpaired- However, patient is verbose and has some difficulty describing symptoms.
Affect, Cognition, Language, Learning Style	Unimpaired- Speaks English. Preferred learning style is kinesthetic.

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Appendix 4. Steps of the Dix-Hallpike Test⁶

1. The patient starts in a long-sitting position with head rotated 45 degrees to one side (toward the labyrinth to be tested). (a)
2. While maintaining 45 degrees of rotation, the patient is quickly and passively moved to a supine position with head extended 30 degrees beyond horizontal. (b)
3. Observe for nystagmus and ask the patient about symptoms of vertigo.
4. Repeat sequence to test opposite side.



(a)



(b)

515

516 **Appendix 5. Head Thrust Test**⁹

517 The head thrust test, also known as the head impulse test, is used to examine semicircular
518 canal function. The therapist holds the patient's head, flexed 30 degrees, while the patient is
519 seated. The patient is instructed to focus on the therapist's nose while the head is passively
520 rotated with a quick, unpredictable movement 5 to 15 degrees to the left or right. A positive test
521 is when the patient's eyes move off the target and a corrective saccade is observed, indicating
522 impaired VOR function.

523

524 **Appendix 6. Head-Shaking Nystagmus Test**¹⁰

525 The head-shaking nystagmus test is useful in diagnosing unilateral vestibular
526 hypofunction. With eyes closed and head flexed 30 degrees, the patient's head is passively
527 moved left and right at a oscillating frequency of 2 repetitions per second (2 Hz) for 20
528 cycles. The eyes are then opened and the therapist assesses for nystagmus. Nystagmus
529 indicates a positive test result and possible unilateral vestibular hypofunction.

530

531 **Appendix 7. Smooth Pursuit Eye Movements¹**

532 The patient's head remains still and he or she is instructed to track a slowly moving target
533 (e.g. a pen) both horizontally and vertically. The presence of catch-up saccades, or *saccadic*
534 *pursuit*, indicates a positive test.

535

536 **Appendix 8. VOR Cancellation¹**

537 The patient is instructed to focus on the therapist's nose as the therapist passively moves
538 the patient's head back and forth at 1 Hz. The therapist moves his or her head at the same speed,
539 but in the opposite direction of the patient's head. A positive test occurs when the patient is
540 unable to maintain focus on the target, indicating impaired VOR function.

541

542 **Appendix 9. Saccades¹**

543 Saccadic eye movements are tested by having the patient look back and forth at two
544 targets spaced apart. The patient's head remains stationary while the motion is repeated several
545 times. The amplitude and velocity of the saccades are assessed. Saccades should be brisk, equal
546 bilaterally, and no more than 10% hypometric or hypermetric.

547

548 **Appendix 10. Mini Balance Evaluation Systems Test (MiniBESTest)¹²**

549 The MiniBESTest is a shortened version of the Mini Balance Evaluation Systems Test.

550 The test has fourteen items scored on a three level ordinal scale. It is used to assess six different
551 balance control systems including anticipatory, reactive, sensory orientation, and dynamic gait.

552

553 **Appendix 11. Modified Clinical Test of Sensory Integration on Balance (mCTSIB)¹³**

554 The mCTSIB is a modification of the CTSIB that eliminates the use of the visual conflict
555 dome. Its purpose is to quantify postural control under various sensory conditions. To perform
556 the test, the patient stands with arms across chest and feet together for the following four
557 conditions:

- 558 ○ Condition 1: Stand on firm surface with the eyes open.
- 559 ○ Condition 2: Stand on firm surface with the eyes closed.
- 560 ○ Condition 3: Stand on compliant surface (foam) with the eyes open
- 561 ○ Condition 4: Stand on compliant surface (foam) with the eyes closed.

562 For each condition, the patient is timed for 30 seconds and the degree of sway is noted. If the
563 patient is unable to maintain the position for 30 seconds, two additional attempts are provided
564 and the three trials are averaged.

565

566 **Appendix 12. Dizziness Handicap Inventory (DHI)¹⁴**

567 The DHI is a patient-reported questionnaire used to measure the perceived impact of
568 dizziness on daily activities. It contains 25 questions divided into three subgroups: functional,
569 emotional, and physical. The minimum score is zero and the maximum score is 100. A higher
570 score indicates a higher level of perceived disability due to dizziness.